United Nations Convention to Combat Desertification Performance Review and Assessment of Implementation System

Fourth UNCCD reporting cycle, 2010–2011 leg Report for Kazakhstan

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General information section

GENERAL INFORMATION ON THE REPORTING	G ENTITY
Reporting country	Kazakhstan
Name and surname of the person submitting the report	Bulat Bekniyaz
Affiliation and contact details	bbolat@mail.ru

Affected Country Parties

Impact indicators

Strategic Objectives 1, 2 and 3

Following <u>decision 17/COP.9</u>, affected country Parties are requested to report on two mandatory impact indicators (i.e.: proportion of population living below the poverty line and land cover status), through the associated metrics identified during the iterative process for the refinement of the set of impact indicators (i.e.: poverty rate, land cover and land productivity).

Affected country Parties might also decide to report on the remaining nine impact indicators provisionally accepted by the COP (see <u>decision 17/COP.9</u>) but considered optional for inclusion in reports.

Alternative indicators considered more suitable than the provisionally accepted indicators may also be reported on. The condition for reporting on alternative indicators is that these fit into the underlying logic of measuring progress against strategic objectives 1, 2 and 3 of the Strategy.

Reporting is guided by means of templates, one for each of the two mandatory indicators, plus one generic reporting template for the remaining nine impact indicators and alternative indicators. Detailed reporting guidelines are available for the two mandatory impact indicators.

General information on impact indicators on strategic objectives 1, 2 and 3

Definition of affected areas

Does your National Action Programme (NAP) identify areas of the country which are affected by Desertification, Land Degradation and Drought (DLDD)?

No

If no, does any other national planning document identify areas of the country which are affected by DLDD?

Yes

Please specify the national document that identifies areas affected by DLDD in your country.

Author	Year	Publication title	Publisher	Website address
Министерство охраны окружающей среды РК	2008	Экологический атлас Казахстана	N/A	N/A

Please specify which areas of the country are considered as affected by DLDD (e.g. name of relevant provinces, states and districts):

Оч.сильная степень трансформации почв: районы активного освоения природных ресурсов топливно-энергетичес. комплекса (Алматинс., Атыраус.,Восточно-Казахстанс., Западно-Казахстанс. и Карагандинс. области), всего: 3% всей территории

Сильная или умеренная степень: практически на всей территории (90%)

Please specify the estimated total number of square kilometres of the national territory occupied by areas affected by DLDD:

2534157 km²

Please specify the percentage of the national land area occupied by areas affected by DLDD: 93 %

Please specify the definition used to identify areas affected by DLDD in your country:

В "Экологическом атласе Казахстана" отсутствует четкое понятие, которое применялось для идентификации районов на территории страны, подвергающихся воздействию ОДЗЗ. Однако, на основе легенды можно определить, что такие районы определялись по типам экологической трансформации почв природного (водная эрозия, осолонцевание, ветровая эрозия, засоление) и

антропогенного (дегумификация, водная эрозия, ветровая эрозия, засоление, осолонцевание, техногенные перегрузки, химическое загрязнение, нефтехимическое загрязнение, радиоактивное загрязнение) генезиса и с идентификацией источника загрязнения (техногенные отходы, радиоактивные отходы, магистральные нефтепроводы, магистральные газопроводы, железные дороги, автомобильные дороги). В результате оценки состояния районов, были выделены степени экологической трансформации почв (незначительная или отсутствует (территории заповедников), слабая, умеренная, сильная, очень сильная) и определена площадь почв по степени трансформации.

Please specify what methods were used to identify areas affected by DLDD in your country:

Методологические основы изучения и картографирования процессов опустынивания и деградации земель Казахстана включили в себя, в том числе, разработку критериев оценки деградации отдельных компонентов природно-хозяйственной среды: эрозии, дефляции и засоления почв, деградации растительного покрова, водных ресурсов, техногенного воздействия. При этом учитывался процент пораженности территории тем или иным процессом, интенсивность его проявления и состояние факторов, его контролирующих.

If available, please provide the geographic datasets which show the areas affected by DLDD.

Ideally, the data should be provided as a shapefile or raster (Geotiff) format with geographic coordinates on the WGS84 datum (The file should have a maximum size of 25MB). If the raw data are not available please provide a map showing the extent of areas affected by DLDD. Ideally, the map should have a scale of less than 1:250,000 and be provided in a Tiff format (The file should have a maximum size of 25MB). To facilitate understanding of the map provided, please explain clearly what can be seen in the map (resolution of map, year of the map, land cover classes and corresponding colours, borders etc)

Attachments:

• land degradation and desertification map Kazakhstan 2008.tif, 17.54 MB

Definition of rural areas

Does any national planning document define rural areas in your country?

Yes

Please specify the national document that defines rural areas in your country.

Author	Year	Publication title	Publisher	Website address
Закон РК от 8 июля 2005 года N 66	2005	О государственном регулировании развития агропромышленного комплекса и сельских территорий	N/A	http://adilet.minjust.kz /rus/docs/Z050000066_
Постановление Правительства РК от 4 ноября 2011 года № 1297	2011	Правила рационального использования земель сельскохозяйственного назначения	N/A	http://adilet.minjust.kz /rus/docs /P1100001297#z5

Please state the national definition of rural areas in your country:

Сельские территории - совокупность сельских населенных пунктов и прилегающих к ним земель.

Деградация земель сельскохозяйственного назначения - ухудшение свойств земель сельскохозяйственного назначения в результате природного и антропогенного воздействия, приводящая к снижению природно-хозяйственной значимости.

Human population estimates

Please state estimates of the human population living in the national area of your country, in rural areas of

your country and areas affected by DLDD in your country.

Include details on the methodology used to establish these estimates.

Year	Number of people living in the national area	Number of people living in rural areas	Number of people living in affected areas	Method used	Was every region (or equivalent sub-national unit) of your country covered in the assessment?	Please state the approximate proportion of the national human population surveyed during the assessment
2000	14901641	6504075				
2001	14865610	6452211				
2002	14851059	6421728				
2003	14866837	6409685			Yes	
2004	14951200	6432958			Yes	
2005	15074767	6460116			Yes	
2006	15219291	6522771			Yes	
2007	15396878	6563629			Yes	
2008	15571506	7305571			Yes	
2009	15982343	7332681		Nationwide census	Yes	
2010	16204617	7397665			Yes	
2011	16441959	7480623			Yes	

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Агентство РК по статистике	2012	Динамика демографических показателей. Демографические таблицы 1990-2011	N/A	http://www.stat.kz/digital /naselsenie/Pages/default.aspx

Core indicator S-(1/2/3): Poverty Rate

UNCCD Strategic Objective(s) for which the indicator applies

Strategic Objective 1: To improve the living conditions of affected populations

UNCCD Core indicator S-(1/2/3)

Improvement in the livelihoods of people potentially impacted by the process of desertification/land degradation and drought

Name of the indicator

Proportion of population living below the poverty line

Metric

Poverty Rate

Purpose of the indicator

To measure and monitor changes in poverty, as a proxy for human well-being. This can be used to identify deprived livelihoods, assess the impacts of desertification and assess progress made by the Parties in combating desertification.

Understanding of the indicator

The **poverty line** describes an absolute threshold below which people are considered to be poor. The **poverty rate** describes the percentage of the human population living below the poverty line. Ideally, Parties report the poverty rate for affected areas using the rural poverty line (poverty line specific to rural areas). In addition, the rural poverty line should be used to report the poverty rate in rural areas. In the absence of the rural poverty line, the national poverty line should be used to report the poverty rate in affected and rural areas or, if these are not available, in the country as a whole. Finally, if no poverty lines are available, the generic poverty line of US\$ 2.00/capita/day should be used to assess poverty rates.

Data needed

Size of human population, a poverty line and the number of people falling below the poverty line.

Relevant terms in the glossary

'absolute poverty', 'absolute poverty line', 'consumption', 'consumption survey', 'currency', 'data', 'data analysis', 'data source', 'desertification/land degradation and drought (DLDD)', 'ecosystem services', 'e-SMART', 'expert knowledge', 'income', 'income survey', 'indicator', 'indicator metadata', 'metric', 'national poverty line', 'national poverty rate', 'nationwide census', 'non stratified random survey' 'population census', 'poverty line', 'relative poverty' 'relative poverty lines', 'rural poverty line', 'rural poverty rate', 'stratified random sampling' 'stratified random survey'.

Reporting on the indicator

Rural poverty line

Does your country have a poverty line that is specific to rural areas (rural poverty line)?

No

If yes, please state the rural poverty line in your country for the most recent years and the method used to define the rural poverty line.

Please choose the method category which best represents that used in the assessment *No answer required.*

Please specify the sources used to extract the information provided above.

No answer required.

If yes, please state the number of people and the percentage of the population living below the rural poverty line in affected areas.

Furthermore, please state the method used to assess the poverty rate in affected areas and the proportion of the population included in the assessment.

No answer required.

If yes, please state the number of people and the percentage of the population living below the rural poverty line in rural areas.

Furthermore, please state the method used to assess the poverty rate in rural areas and the proportion of the population included in the assessment.

No answer required.

Please specify the sources used to extract the information provided above.

No answer required.

National poverty line

If data related to the rural poverty line was provided, please **do not** respond to this section.

If no rural poverty line is available, does your country have a national poverty line?

Yes

If yes, please state the national poverty line in your country for the most recent years and the method used to define the national poverty line.

Please choose the method category which best represents that used in the assessment.

Year National poverty line (value) Currency Method used

Year	National poverty line (value)	Currency	Method used
2000	1290	KZT	Expert opinion
2001	1978	KZT	Expert opinion
2002	2262	KZT	Expert opinion
2003	2401	KZT	Expert opinion
2004	2583	KZT	Expert opinion
2005	2714	KZT	Expert opinion
2006	3047	KZT	Expert opinion
2007	3364	KZT	Expert opinion
2008	3861	KZT	Expert opinion
2009	4954	KZT	Expert opinion
2010	5064	KZT	Expert opinion
2011	5395	KZT	Expert opinion

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Агентство РК по статистике	2012	Статистический сборник «Уровень жизни населения в Казахстане»	N/A	www.stat.gov.kz
Министерства труда и социальной защиты населения РК		Соответствующие приказа Министра труда и социальной защиты населения об определении черты бедности в РК	N/A	http://online.zakon.kz
Собственные расчеты на основе данных Агентства РК по статистике и Министерства труда и социальной защиты населения РК	2012	N/A	N/A	N/A

Please state the number of people and the percentage of the population living below the national poverty line in affected areas.

	Affected areas				
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the human population living in affected areas surveyed during the poverty assessment	
2000					
2001					
2002					
2003					
2004					

	Affected areas					
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the human population living in affected areas surveyed during the poverty assessment		
2005						
2006						
2007						
2008						
2009						
2010						
2011						

Please state the number of people and the percentage of the population living below the national poverty line in rural areas.

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			Rural a	areas		
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the human population living in rural areas surveyed during the poverty assessment		
2000						
2001						
2002						
2003						
2004						
2005						
2006						
2007						
2008						
2009						
2010						
2011						

If neither of these is available, please state the percentage of the population living below the national poverty line in the country as a whole.

			Count	ry
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the national human population surveyed during the poverty assessment
2000				

	Country					
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the national human population surveyed during the poverty assessment		
2001	59462	0.4		100%		
2002	14851	0.1		100%		
2003	14867	0.1		100%		
2004		0.0		100%		
2005		0.0		100%		
2006		0.0		100%		
2007	0.0	0.0		100%		
2008	0.0	0.0		100%		
2009	0.0	0.0		100%		
2010	0.0	0.0		100%		
2011	0.0	0.0		100%		

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Агентство РК по статистике	2012	Статистический сборник «Уровень жизни населения в Казахстане»	N/A	www.stat.gov.kz
Агентство РК по статистике	2012	Динамические ряды индикаторов по Целям развития тысячелетия до 2015 года, Казахстан (Доля населения с доходом по ППС менее 1\$ на душу населения)	N/A	http://www.stat.kz /Pages/gen_stat.aspx

International poverty line

If data related to the rural poverty line or to the national poverty line was provided, please **do not** respond to this section.

If neither the rural poverty line nor the national poverty line are available, please state the number of people and the percentage of the population living below the international poverty line (US\$ 2.00/capita/day) in affected areas.

	Affected areas					
Year	Number of Percentage of people population		Method of poverty assessment	Approximate proportion of the human population living in affected areas surveyed during the poverty assessment		
2000						
2001						
2002						
2003						

	Affected areas						
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the human population living in affected areas surveyed during the poverty assessment			
2004							
2005							
2006							
2007							
2008							
2009							
2010							
2011							

If there is no national poverty line, please state the number of people and the percentage of the population living below the international poverty line (US\$ 2.00/capita/day) in rural areas.

		Rural areas						
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the human population living in rural areas surveyed during the poverty assessment				
2000								
2001								
2002								
2003								
2004								
2005								
2006								
2007								
2008								
2009								
2010								
2011								

If neither of these is available, please state the percentage of the population living below the international poverty line (US\$ 2.00/capita/day) in the country as a whole.

			Count	ту
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the national human population surveyed during the poverty assessment

			Count	ry
Year	Number of people	Percentage of population	Method of poverty assessment	Approximate proportion of the national human population surveyed during the poverty assessment
2000				
2001	2125782	14.3	Expert opinion	80%
2002	1396000	9.4	Expert opinion	80%
2003	981211	6.6	Expert opinion	80%
2004	807365	5.4	Expert opinion	80%
2005	678365	4.5	Expert opinion	80%
2006	502237	3.3	Expert opinion	80%
2007	261747	1.7	Expert opinion	80%
2008	155715	1.0	Expert opinion	80%
2009	47947	0.3	Expert opinion	80%
2010	113432	0.7	Expert opinion	80%
2011	116728	0.7	Expert opinion	80%

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Агентство РК по статистике	2012	Динамические ряды индикаторов по Целям развития тысячелетия до 2015 года, Казахстан (Доля населения с доходом по ППС менее 2,5\$ на душу населения)	N/A	http://www.stat.kz /Pages/gen_stat.aspx
Собственные предположения и расчеты на основе данных Агентства РК по статистике	2012	N/A	N/A	N/A

Contact details

General information on the national contact person for this indicator

Name and surname

Алма Батталовна

Institution

АО «Информационно- аналитический центр по проблемам занятости»

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Email

centr@enbek.kz

Telephone

Interpretation of indicator status/trend and policy implications

Poverty in affected areas

Did you provide data on poverty in affected areas?

No

If yes, please state whether you provided data for more than one year.

No answer required.

If no, do you see a pattern in the data?

No answer required.

Please explain the pattern emerging from the data and how it relates to DLDD.

No answer required.

If yes, does the poverty rate in affected areas change over time?

No answer required.

If no, please explain possible reasons why the poverty rate in affected areas does not change over time.

No answer required.

If yes, does the poverty rate in affected areas increase or decrease?

No answer required.

Please explain the pattern emerging from the data.

No answer required.

Is there a functional relationship between DLDD and the poverty rate in affected areas?

No answer required.

Please describe how DLDD affects the poverty rate in affected areas.

No answer required.

If DLDD does not affect the poverty rate in affected areas, please describe the other factor(s) responsible for changes in the poverty rate in affected areas.

No answer required.

Please upload a graph showing the percentage of human population below the poverty line in affected areas, covering all years for which data are provided in section "Poverty Rate" / sub-section "Reporting on the indicator".

The graph should be provided as jpg or pdf with a maximum file size of 2MB

No answer required.

To facilitate understanding please explain clearly what can be seen in the diagram (keys, classes, etc).

No answer required.

Poverty in rural areas

Did you provide data on poverty in rural areas?

No

If yes, please state whether you provided data for more than one year.

No answer required.

If no, do you see a pattern in the data?

No answer required.

Please explain the pattern emerging from the data and how it relates to DLDD.

No answer required.

If yes, does the poverty rate in rural areas change over time?

No answer required.

If no, please explain possible reasons why the poverty rate in rural areas does not change over time.

No answer required.

If yes, does the poverty rate in rural areas increase or decrease?

No answer required.

Please explain the pattern emerging from the data.

No answer required.

Is there a functional relationship between DLDD and the poverty rate in rural areas?

No answer required.

Please describe how DLDD affects the poverty rate in rural areas.

No answer required.

If DLDD does not affect the poverty rate in rural areas, please describe the other factor(s) responsible for changes in the poverty rate in rural areas.

No answer required.

Please upload a graph showing the percentage of human population below the poverty line in rural areas, covering all years for which data are provided in section "Poverty Rate" / sub-section "Reporting on the indicator".

The graph should be provided as jpg or pdf with a maximum file size of 2MB

No answer required.

To facilitate understanding please explain clearly what can be seen in the diagram (keys, classes, etc).

No answer required.

Please state the actions and policies that you currently have in place or any that will be implemented in the future to address the implications of the indicator trend or lack thereof for addressing DLDD in your country.

No answer required.

Poverty at the national level

If data was provided for either affected or rural areas, please do not respond to this section.

Did you provide data on poverty at the national level?

Yes

If yes, please state whether you provided data for more than one year.

Yes

If no, do you see a pattern in the data?

No answer required.

Please explain the pattern emerging from the data and how it relates to DLDD.

No answer required.

If yes, does the poverty rate at national level change over time?

Yes

If no, please explain possible reasons why the poverty rate at national level does not change over time.

No answer required.

If yes, does the poverty rate at national level increase or decrease?

Decrease

Please explain the pattern emerging from the data.

Если оценивать ситуацию с бедностью, опираясь на установленную национальную черту бедности (которая примерно соотноситься с уровнем дохода менее 1\$ на душу населения), то начиная с 2007 года такое явление как бедность в Казахстане отсутствует. Данную зависимость можно было бы отследить по остроте и глубине бедности. Однако эти показатели бедности не основываются на национальной черте бедности, а определяются на основе прожиточного минимума и продовольственной корзины.

Is there a functional relationship between DLDD and the poverty rate at the national level?

Please describe how DLDD affects the poverty rate at the national level.

No answer required.

If DLDD does not affect the poverty rate at the national level, please describe the other factor(s) responsible for changes in the poverty rate at the national level.

No answer required.

Please upload a graph showing the percentage of the national population below the poverty line, covering all years for which data are provided in section "Reporting on the indicator".

The graph should be provided as jpg or pdf with a maximum file size of 2MB

Attachments:

None.

To facilitate understanding please explain clearly what can be seen in the diagram (keys, classes, etc).

No answer provided.

Please state the actions and policies that you currently have in place or any that will be implemented in the future to address the implications of the indicator trend or lack thereof for addressing DLDD in your country.

В рамках процесса обновления Плана действий по борьбе с опустыниванием в 2013-2014 гг. показатели бедности будут интегрированы в мониторинг по ОД33. Однако, поскольку с 2007 года явление бедности (когда ежедневный доход на душу населения составляет менее \$1) отсутствует, то, скорее всего, в качестве показателей будут выступать уровни прожиточного минимума и/или продовольственной корзины и доли населения, живущего ниже данных уровней, или же глубина и острота бедности, по областям.

Feedback

Report on specific COP requests – iterative process on indicators

Decision 13/COP.9 (paragraphs 2, 3 and 4) and decision 17/COP.9 envisage an iterative process to refine the set of performance and impact indicators. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement. Using a scale from 0 to 5, where 0 = (no, not at all) and 5 = (yes, very much), please rate the indicator. Please write any comments related to your assessment under the column "remarks".

Assessment Criteria (e-SMART)	Score	Remarks
Relevant – Does the indicator provide information about changes in primary processes unambiguously related to DLDD and UNCCD implementation?	0	Данный показатель, основанный на национальной черте бедности, неприменим в Казахстане, так как с 2007 года такого явление как бедность (доля населения с доходом менее \$1 на душу населения) отсутствует.

Assessment Criteria (e-SMART)	Score	Remarks
Relevant – Is the indicator relevant for DLDD national planning purposes, including monitoring of the National Action Programme (NAP)?	0	Для Казахстана был бы в большей степени применим показатель, основанный на прожиточном минимуме или величине продовольственной корзине, или же острота и глубина бедности, определяемые на основе прожиточного минимума и продовольственной корзины.
Relevant – Can policymakers easily understand the indicator?	4	
Specific – Is the indicator based on well-understood and generally accepted conceptual models of the system to which it is applied so that changes in its value will have clear meaning regarding the process of concern?		В стране национальная черта бедности не определяется в привязке к 1 или 2 долларам США. Она определяется на ежеквартальной основе, и обычно составляет 40% от величины прожиточного минимума. Также не ведется отдельной статистики по международной черте бедности (менее 2\$/душу населения)
Specific – Is the requested spatial scale (national vs. affected areas) of the indicator appropriate for its monitoring purposes?	5	
Measurable – Are the definitions of the indicator and its constitutive elements clear and not ambiguous?	5	
Measurable – Are the proposed methodologies for the measurement of this indicator sufficiently clear to ensure reliable data?	4	
Time-bound – Is the indicator sensitive enough to detect important changes but not so sensitive that signals are masked by natural variability?	5	
Time-bound – Can the indicator detect changes at the required temporal and spatial scales and are the up-scaling / cross-scaling rules clear?	3	
Achievable – Are reliable data and monitoring systems available to assess trends and is data collection a relatively straightforward process?	3	
Achievable – Is the frequency of data collection in line with the monitoring and reporting requirements of the UNCCD?	3	
Economic – Is the indicator cost-effective? Is the cost of data collection affordable and worthwhile? (consider any required cost for personnel, capital but also, recurring costs)	2	

UNCCD Strategic Objective(s) for which the indicator applies Strategic Objective 2: To improve the condition of ecosystems

UNCCD Core indicator S-5

Maintenance of or increases in ecosystem function, including net primary productivity

Name of the indicator Land cover status

Metric

Land cover / land productivity

Purpose of the indicator

The purpose of this indicator is to measure and monitor changes in land cover and land productivity. This can be used to indicate land degradation in terms of long-term loss of ecosystem primary productivity, and assess the progress made in maintaining or improving the condition of ecosystems.

Understanding of the indicator

Land cover reflects the (bio) physical dimension of the earth's surface. This can indicate the land's ability to sustain human activity and land use. Ideally, parties should provide data on the area of each land cover type, the percentage of the total national area covered by each land cover type and any patterns and trends over time. Where available, data should also be provided on the net primary productivity of each land cover type, to give some indication of the total productive capacity of the land.

Data needed

Total national land area, classification of land cover types, the total area of each land cover type (square km) and the total area of each land cover type as a percentage of the total national land area.

Relevant terms in the glossary

'aerial photo', 'data', 'data analysis', 'data source', 'desertification/land degradation and drought (DLDD)', 'Enhanced Vegetation Index (EVI)', 'e-SMART', 'expert knowledge', 'field survey', 'Fraction of photosynthetically active radiation absorbed by vegetation (FAPAR)', 'Global Inventory Modeling and Mapping Studies (GIMMS)', 'ground-truthing', 'indicator' 'land cover', 'Land Cover Classification System (LCCS)', 'land productivity', 'metric', 'minimum mapping unit (MMU), 'Net primary productivity (NPP)', 'Normalized Difference Vegetation Index (NDVI)', 'Rainfall Use Efficiency (RUE)', 'remote sensing', 'satellite image', 'spatial resolution', 'temporal resolution'

Reporting on land cover

Reporting on the indicator

Land Cover Type #1 — Лесостепь

Land cover type

Name

Лесостепь

Methodology and data availability

Please provide the definition used for this land cover type for each year between 2000 and 2011 for which land cover data are available.

If available, LCCS should be used as land cover classification system.

Year	Definition of land cover type
2000	
2001	
2002	
2003	
2004	

Year	Definition of land cover type
2005	
2006	
2007	
2008	Лесостепная равнинная, с осиново-березовыми лесами, злаково-богато-разнотравной растительностью и пахот-ные земли на их месте.
2009	
2010	
2011	

Please state the methodology used to produce land cover data in your country for the most recent years and the availability of associated images.

Where remote sensing was used, state the respective year and month in which remote sensing was carried out to
derive images.
Item 1
Year:
Method used:
If field survey was used, please specify the percentage of country surface sampled:
If field survey was used, were the surveys extrapolated to the entire country?
If remote sensing was used, identify the type and answer the following questions:
Classification:
Please specify the spatial resolution of the image:
m
Please specify the temporal resolution of the image:
days
Please specify the minimum mapping unit (MMU) of the image:
m
Please specify the year that the photo was captured:
Please specify the month that the image was captured:
Percentage of country surface assessed via this classification:
Ground truthing conducted?
If percentage accuracy is available, please specify:
%
High resolution verification?
If percentage accuracy is available, please specify:
%

Expert opinion?

Please specify how accurate the map was judged by the experts:

Please specify the number of experts involved:

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Министерство охраны окружающей среды	2008	Экологический атлас Республики Казахстан	N/A	N/A

Indicator data

For the years available, please provide the total area covered (in square kilometres) and the proportion of the total national area covered by this land cover type.

Year	square km	% of total
2000		%
2001		%
2002		%
2003		%
2004		%
2005	29974	1.1%
2006		%
2007		%
2008		%
2009		%
2010		%
2011		%

Please provide the geographic datasets which underpin the land cover information provided.

Ideally, the data should be provided as a shapefile or raster (Geotiff) format with geographic coordinates on the WGS84 datum. If the raw data are not available please provide a map showing the extent of each land cover type listed. Ideally, the map should have a scale of less than 1:250,000 and be provided in a Tiff format.

Attachments:

• eco-geo-botanics and degradation Kazakhstan2008.tif, 8.81 MB

To facilitate understanding of the map provided, please explain clearly what can be seen in the map (resolution of map, year of the map, land cover classes and corresponding colours, borders, etc).

No answer provided.

Contact details

General information on the national contact person for this indicator

Name and surname

Людмила Шабанова

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+7 7172 79 96 42

Land cover type

Name

Степь

Methodology and data availability

Please provide the definition used for this land cover type for each year between 2000 and 2011 for which land cover data are available.

If available, LCCS should be used as land cover classification system.

Year	Definition of land cover type
2000	
2001	
2002	
2003	
2004	
2005	
2006	
2007	
2008	1) Равнинная умеренно-засушливая, с богато-разнотравно-ковыльной растительн. и пахотные земли; 2) Засушливая ковыльная; 3) Умеренно-сухостепная равнинная типчаково-ковыльная; 4) Равнинно-мелкосопочная, сухостепная типчаково-полынно-ковыльная равнинная и петрофитноразнотравно-ковыльная мелкосопочная
2009	
2010	
2011	

Please state the methodology used to produce land cover data in your country for the most recent years and the availability of associated images.

Where remote sensing was used, state the respective year and month in which remote sensing was carried out to derive images.

Item 1
Year:
Method used:
If field survey was used, please specify the percentage of country surface sampled:
If field current was used were the current extremelated to the entire country?
If field survey was used, were the surveys extrapolated to the entire country?
If remote sensing was used, identify the type and answer the following questions:
Classification:
Please specify the spatial resolution of the image:
m
Please specify the temporal resolution of the image:
days
Please specify the minimum mapping unit (MMU) of the image:
M
Please specify the year that the photo was captured:
Please specify the month that the image was captured:
Percentage of country surface assessed via this classification:
Ground truthing conducted?
If percentage accuracy is available, please specify:
%
High resolution verification?
If percentage accuracy is available, please specify:
%
Expert opinion?
Please specify how accurate the map was judged by the experts:
Please specify the number of experts involved:

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address	
Министерство охраны окружающей среды РК	2008	Экологический атлас Казахстана	N/A	N/A	

Indicator data

For the years available, please provide the total area covered (in square kilometres) and the proportion of the total national area covered by this land cover type.

Year	square km	% of total
2000		%
2001		%
2002		%
2003		%
2004		%
2005	1128109	41.4%
2006		%
2007		%
2008		%
2009		%
2010		%
2011		%

Please provide the geographic datasets which underpin the land cover information provided.

Ideally, the data should be provided as a shapefile or raster (Geotiff) format with geographic coordinates on the WGS84 datum. If the raw data are not available please provide a map showing the extent of each land cover type listed. Ideally, the map should have a scale of less than 1:250,000 and be provided in a Tiff format.

Attachments:

• eco-geo-botanics and degradation Kazakhstan2008.tif, 8.81 MB

To facilitate understanding of the map provided, please explain clearly what can be seen in the map (resolution of map, year of the map, land cover classes and corresponding colours, borders, etc).

No answer provided.

Contact details

General information on the national contact person for this indicator

Name and surname

Людмила Шабанова

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Land Cover Type #3 — Пустыня

Land cover type

Name

Пустыня

Methodology and data availability

Please provide the definition used for this land cover type for each year between 2000 and 2011 for which land cover data are available.

If available, LCCS should be used as land cover classification system.

Year	Definition of land cover type
2000	
2001	
2002	
2003	
2004	
2005	
2006	
2007	
2008	1)Сев.равнин.,с полукустарничк.полынной и солянковой р.и песчаннополынно-псаммофитно-кустарник.р.на песках; 2) Средняя равнин., с белоземельно-полынной, полукустарничксолянковой, кустарник.псаммофитной и саксауловой р.на серо-бурых солонч.,солонч. и песчаных п.; 3) Южная равнинная
2009	
2010	
2011	

Please state the methodology used to produce land cover data in your country for the most recent years and the availability of associated images.

Where remote sensing was used, state the respective year and month in which remote sensing was carried out to derive images.

derive images.
Item 1
Year:
Method used:
If field survey was used, please specify the percentage of country surface sampled:
If field survey was used, were the surveys extrapolated to the entire country?
If remote sensing was used, identify the type and answer the following questions:
Classification:
Please specify the spatial resolution of the image:m
Please specify the temporal resolution of the image:
days
Please specify the minimum mapping unit (MMU) of the image:

---m

Please specify the year that the photo was captured:

Please specify the month that the image was captured:

Percentage of country surface assessed via this classification:

Ground truthing conducted?

If percentage accuracy is available, please specify:

---%

High resolution verification?

If percentage accuracy is available, please specify:

---%

Expert opinion?

--

Please specify how accurate the map was judged by the experts:

--

Please specify the number of experts involved:

--

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Министерство охраны окружающей среды РК	2008	Экологический атлас Казахстана	N/A	N/A

Indicator data

For the years available, please provide the total area covered (in square kilometres) and the proportion of the total national area covered by this land cover type.

Year	square km	% of total
2000		%
2001		%
2002		%
2003		%
2004		%
2005	1171707	43%
2006		%
2007		%
2008		%
2009		%
2010		%

Year	square km	% of total
2011		%

Please provide the geographic datasets which underpin the land cover information provided.

Ideally, the data should be provided as a shapefile or raster (Geotiff) format with geographic coordinates on the WGS84 datum. If the raw data are not available please provide a map showing the extent of each land cover type listed. Ideally, the map should have a scale of less than 1:250,000 and be provided in a Tiff format.

Attachments:

• eco-geo-botanics and degradation Kazakhstan2008.tif, 8.81 MB

To facilitate understanding of the map provided, please explain clearly what can be seen in the map (resolution of map, year of the map, land cover classes and corresponding colours, borders, etc).

No answer provided.

Contact details

General information on the national contact person for this indicator

Name and surname

Людмила Шабанова

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Land Cover Type #4 — Предгорная и горная

Land cover type

Name

Предгорная и горная

Methodology and data availability

Please provide the definition used for this land cover type for each year between 2000 and 2011 for which land cover data are available.

If available, LCCS should be used as land cover classification system.

Year	Definition of land cover type
2000	
2001	
2002	
2003	
2004	
2005	

Year	Definition of land cover type
2006	
2007	
2008	Предгорная и горная, кустарниково-высокозлаковая, с участками пустынной или степной растительности. В горах еловые, темнохвойные и светлохвойные, лиственные леса и редколесья, арчевые заросли, в высокогорьях криофитные луга и подушечники.
2009	
2010	
2011	

Please state the methodology used to produce land cover data in your country for the most recent years and the availability of associated images.

Where remote sensing was used, state the respective year and month in which remote sensing was carried out to

derive images.
Item 1
Year:
Method used:
If field survey was used, please specify the percentage of country surface sampled:
If field survey was used, were the surveys extrapolated to the entire country?
If remote sensing was used, identify the type and answer the following questions:
Classification:
Please specify the spatial resolution of the image:
m
Please specify the temporal resolution of the image:
days
Please specify the minimum mapping unit (MMU) of the image:
Please specify the year that the photo was captured:
Please specify the month that the image was captured:
Percentage of country surface assessed via this classification:
Ground truthing conducted?
If percentage accuracy is available, please specify:
% High resolution verification?
If percentage accuracy is available, please specify:

---%
Expert opinion?
--Please specify how accurate the map was judged by the experts:
--Please specify the number of experts involved:

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Министерство охраны окружающей среды РК	2008	Экологический атлас Казахстана	N/A	N/A

Indicator data

For the years available, please provide the total area covered (in square kilometres) and the proportion of the total national area covered by this land cover type.

Year	square km	% of total
2000		%
2001		%
2002		%
2003		%
2004		%
2005	326988	12%
2006		%
2007		%
2008		%
2009		%
2010		%
2011		%

Please provide the geographic datasets which underpin the land cover information provided.

Ideally, the data should be provided as a shapefile or raster (Geotiff) format with geographic coordinates on the WGS84 datum. If the raw data are not available please provide a map showing the extent of each land cover type listed. Ideally, the map should have a scale of less than 1:250,000 and be provided in a Tiff format.

Attachments:

eco-geo-botanics and degradation Kazakhstan2008.tif, 8.81 MB

To facilitate understanding of the map provided, please explain clearly what can be seen in the map (resolution of map, year of the map, land cover classes and corresponding colours, borders, etc).

No answer provided.

Contact details

General information on the national contact person for this indicator

Name and surname

Людмила Шабанова

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Interpretation of indicator status/trend and policy implications

Interpretation of the indicator

Did you provide data for more than one year?

No

If no, do you see a pattern in the data related to affected areas?

No answer provided.

Please explain the pattern emerging from the data and how it relates to DLDD.

No answer required.

Does the indicator change over time?

No answer required.

If no, please explain possible reasons why the indicator does not change over time

No answer required.

If yes, is there a functional relationship between the indicator and DLDD?

No answer required.

If DLDD does not affect the indicator, please describe the other factor(s) responsible for changes in the indicator value over time.

No answer required.

Please describe how DLDD affects the indicator.

No answer required.

Does the extent of affected areas increase or decrease?

No answer required.

Please explain the pattern emerging from the data.

No answer required.

Please upload any graphs showing the temporal pattern/trend in the indicator over time, covering all years for which data is available.

The graph should be provided as jpg or pdf with a maximum file size of 2MB. To facilitate understanding please explain clearly what can be seen in the diagrams (keys, classes, resolutions etc.)

No answer required.

Please state the actions and policies that you currently have in place or any that will be implemented in the future to address the implications of the indicator trend or lack thereof for addressing DLDD in your country. Регулярный учет земель в Казахстане ведется по целевому назначению, а именно по следующим

категориям земель: земли населенных пунктов; земли промышленности, транспорта, связи, обороны и иного несельскохозяйственного назначения; земли особо охраняемых природных территорий, земли оздоровительного, рекреационного и историко-культурного назначения; земли лесного фонда; земли водного фонда; земли запаса. Исследования земель по типу растительности проводятся крайне нерегулярно, и данный показатель затруднительно использовать в планировании по вопросам ОДЗЗ.

Feedback

Report on specific COP requests – iterative process on indicators

Decision 13/COP.9 (paragraphs 2, 3 and 4) and decision 17/COP.9 envisage an iterative process to refine the set of performance and impact indicators. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement. Using a scale from 0 to 5, where 0 = (no, not at all) and 5 = (yes, very much), please rate the indicator. Please write any comments related to your assessment under the column "remarks".

Assessment Criteria (e-SMART)	Score	Remarks
Relevant – Does the indicator provide information about changes in primary processes unambiguously related to DLDD and UNCCD implementation?	4	
Relevant – Is the indicator relevant for DLDD national planning purposes, including monitoring of the National Action Programme (NAP)?	4	Являлся бы существенным, если б исследования земель по типу растительности регулярно проводились в стране.
Relevant – Can policymakers easily understand the indicator?	3	
Specific – Is the indicator based on well-understood and generally accepted conceptual models of the system to which it is applied so that changes in its value will have clear meaning regarding the process of concern?	2	
Specific – Is the requested spatial scale (national vs. affected areas) of the indicator appropriate for its monitoring purposes?	3	
Measurable – Are the definitions of the indicator and its constitutive elements clear and not ambiguous?	3	
Measurable – Are the proposed methodologies for the measurement of this indicator sufficiently clear to ensure reliable data?	2	
Time-bound – Is the indicator sensitive enough to detect important changes but not so sensitive that signals are masked by natural variability?	4	
Time-bound – Can the indicator detect changes at the required temporal and spatial scales and are the up-scaling / cross-scaling rules clear?	3	
Achievable – Are reliable data and monitoring systems available to assess trends and is data collection a relatively straightforward process?	2	

Assessment Criteria (e-SMART)	Score	Remarks
Achievable – Is the frequency of data collection in line with the monitoring and reporting requirements of the UNCCD?	2	
Economic – Is the indicator cost-effective? Is the cost of data collection affordable and worthwhile? (consider any required cost for personnel, capital but also, recurring costs)	2	

Reporting on land productivity

Do not reply to this section if you provided no data on land cover.

Reporting on the indicator

Do not reply to this section if you provided no data on land cover.

Please, state the methods used to estimate land productivity in your country.

Плодородие почв определяется при помощи балльной системы бонитета почв. Балл бонитета почв - интегральный показатель плодородия почв, учитывающий совокупность влияния признаков и свойств почвы на продуктивность сельхозугодий.

Please state the net primary productivity (NPP) (in kgC ha⁻¹ year⁻¹) for each land cover type described in section "Land Cover Status" / sub-section "Methodology and data availability":

Year (2000-2011)	Land cover type	NPP (kgC ha ⁻¹ year ⁻¹)

For the land cover types described in section "Land Cover Status" / sub-section "Methodology and data availability", please state whether you have data on NDVI, FAPAR, EVI or others and also state the respective value.

Year (2000-2011)	Land cover type	Value	Data type

If Others, please specify:

No answer required.

Please specify the sources used to extract the information provided above.

Author	Year	Publication title	Publisher	Website address
Министерство охраны окружающей среды РК	2008	Экологический атлас Казахстана	N/A	N/A

Please provide the geographic datasets which underpin the land productivity information provided.

Ideally, the data should be provided as a shapefile or raster (Geotiff) format with geographic coordinates on the WGS84 datum. If the raw data are not available please provide a map showing the extent of each land cover type listed. Ideally, the map should have a scale of less than 1:250,000 and be provided in a Tiff format.

Attachments:

• soils fertility Kazakhstan 2008.tif, 12.8 MB

To facilitate understanding of the map provided, please explain clearly what can be seen in the map (resolution of map, year of the map, land cover classes and corresponding colours, borders, etc).

No answer provided.

Interpretation of the indicator

Did you provide data for more than one year?

No

If no, do you see a pattern in the data related to affected areas?

No answer provided.

Please explain the pattern emerging from the data and how it relates to DLDD.

No answer required.

Does the indicator change over time?

No answer required.

If no, please explain possible reasons why the indicator does not change over time

No answer required.

If yes, is there a functional relationship between the indicator and DLDD?

No answer required.

If DLDD does not affect the indicator, please describe the other factor(s) responsible for changes in the indicator value over time.

No answer required.

Please describe how DLDD affects the indicator.

No answer required.

Does the extent of affected areas increase or decrease?

No answer required.

Please explain the pattern emerging from the data.

No answer required.

Please upload any graphs showing the temporal pattern/trend in the indicator over time, covering all years for which data is available.

The graph should be provided as jpg or pdf with a maximum file size of 2MB. To facilitate understanding please explain clearly what can be seen in the diagrams (keys, classes, resolutions etc.)

No answer required.

Please state the actions and policies that you currently have in place or any that will be implemented in the future to address the implications of the indicator trend or lack thereof for addressing DLDD in your country.

Целевым показателем соответствующей политики страны является скорее плодородие почв, нежели их продуктивность. Так, для обеспечения землепользования с учетом охраны земель и оптимального взаимодействия с природными факторами способами, не приводящими к существенному снижению плодородия почв и мелиоративного состояния земель, 4 ноября 2011 года постановлением Правительства РК были утверждены Правила рационального использования земель сельскохозяйственного назначения.

Feedback

Report on specific COP requests – iterative process on indicators

Decision 13/COP.9 (paragraphs 2, 3 and 4) and decision 17/COP.9 envisage an iterative process to refine the set of performance and impact indicators. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement. Using a scale from 0 to 5, where 0 = (no, not at all) and 5 = (yes, very much), please rate the indicator. Please write any comments related to your assessment under the column "remarks".

Assessment Criteria (e-SMART)	Score	Remarks
Relevant – Does the indicator provide information about changes in primary processes unambiguously related to DLDD and UNCCD implementation?	5	
Relevant – Is the indicator relevant for DLDD national planning purposes, including monitoring of the National Action Programme (NAP)?	5	Являлся бы существенным, если б этот показатель был также актуальным для национальных процессов планирования. В силу отсутствия регулярных данных по продуктивности почв и общей направленность на мониторинг земель по их назначению, в Казахстане применяется показатель плодородия почв.
Relevant – Can policymakers easily understand the indicator?	3	
Specific – Is the indicator based on well-understood and generally accepted conceptual models of the system to which it is applied so that changes in its value will have clear meaning regarding the process of concern?	3	
Specific – Is the requested spatial scale (national vs. affected areas) of the indicator appropriate for its monitoring purposes?	4	
Measurable – Are the definitions of the indicator and its constitutive elements clear and not ambiguous?	4	
Measurable – Are the proposed methodologies for the measurement of this indicator sufficiently clear to ensure reliable data?	3	
Time-bound – Is the indicator sensitive enough to detect important changes but not so sensitive that signals are masked by natural variability?	4	
Time-bound – Can the indicator detect changes at the required temporal and spatial scales and are the up-scaling / cross-scaling rules clear?	3	
Achievable – Are reliable data and monitoring systems available to assess trends and is data collection a relatively straightforward process?	1	
Achievable – Is the frequency of data collection in line with the monitoring and reporting requirements of the UNCCD?	1	

Assessment Criteria (e-SMART)	Score	Remarks
Economic – Is the indicator cost-effective? Is the cost of data collection affordable and worthwhile? (consider any required cost for personnel, capital but also, recurring costs)	2	

Additional indicators on strategic objectives 1, 2 and 3

Alternative indicators considered more suitable than the provisionally accepted indicators may also be reported on using this template. The condition for reporting on alternative indicators is that these fit into the underlying logic of measuring progress against strategic objectives 1, 2 and 3 of the Strategy.

Purpose of the indicators

"To measure and monitor changes in access to water sources for the population. This can be used to assess the impacts of DLDD, and mitigation efforts, on water resources"
"To measure and monitor changes in the productive or protective uses of the land resource. This can be used to assess sustainability of land use."
"To measure and monitor changes in nutritional status. This can act as an indicator of both well-being and the availability of ecosystem services."
"To measure and monitor changes in the status of soil health. This can be used to assess the impacts of DLDD, and mitigation efforts, on soil health."
"To measure and monitor changes in the extent and severity of land degradation. This can be used to assess the impact of agreements and programs to address land degradation and reclaim degraded lands."
"To measure and monitor changes in the status of biodiversity relative to a 'pristine' baseline. This can be used as an indicator for overall environmental sustainability and used to assess the impacts of DLDD, and interventions, on enhancing biodiversity."
"Acts as an indicator for characterising sensitive and desertification-affected areas. This can be used to monitor the climatic conditions affecting water availability as a driving force of DLDD, provide early warnings of drought and assess severity and actions."
"To measure and monitor changes in above and below ground stocks as a global benefit. This can be used to assess the impacts of DLDD, and mitigation efforts on carbon stocks."
"To act as a surrogate for measuring and monitoring a number of global benefits: (a) Climate regulation and carbon sequestration; (b) Vegetation cover and composition; and (c) Water retention and the regional hydrologic balance."

None delivered.

Strategic Objective 4

Indicator SO-4-3

Strategic Objective 4

To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors

Impact indicator SO-4-3 for expected impact 4.1 (Increased financial, technical and technological resources are made available to affected developing country Parties, and where appropriate Central and Eastern European countries, to implement the Convention)

Percentage change in the domestic financial commitment to the implementation of the Convention

Understanding of the indicator

It provides an indication of the trend in the supply of public finance for DLDD-related investments and other Convention-related activities by affected developing country Parties.

Data needed

- DLDD-related programmes and projects (co-)financed through domestic public budgets
- Contribution by domestic public sources to investments and other initiatives to advance SLM
- Convention-related financial commitments by affected developing country Parties

Data sources (indicative only)

- PRAIS (financial annexes)
- CRIC performance reviews of OO5 and analyses of financial flows
- Relevant country-level studies to inform the IFS process (to be used when data is not available in the PRAIS system, and/or for cross referencing and validity checks)
- Inventories of SLM funding opportunities and/or investments
- Relevant databases and publications of authoritative entities (to be used when data is not available in the PRAIS system, and/or for cross referencing and validity checks)

Check the glossary for

Integrated financing strategy; integrated investment framework

Nominal amount (USD) of financial commitments for Convention-related objectives made from domestic public budgets (i.e. national or sub-national)

Year	Nominal amount (USD)		
2010	139460		
2011			

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

• «Охрана окружающей среды и устойчивое развитие Казахстана», Статистический сборник, Aгентство PK по статистике, 2011 (с.77), доступен http://www.stat.kz/publishing/Pages /publications.aspx

Attachments:

None.

Indicator SO-4-6

Strategic Objective 4

To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors

Impact indicator SO-4-6 for expected impact 4.2 (Enabling policy environments are improved for UNCCD implementation at all levels)

Number and type of legal and regulatory frameworks, economic incentives or other mechanisms securing or facilitating the transfer of funds for the implementation of the Convention at all levels.

Understanding of the indicator

It provides a measure of the efforts made by Convention stakeholders to facilitate the implementation of the Convention.

Data needed

- Laws and regulations
- Economic and financial measures (e.g. fiscal rules, tax benefits, credit lines and borrowing rules, etc.)
- Cooperation frameworks (e.g. agreements, memoranda of understanding, contracts, etc.)
- Sectoral policies (e.g. trade, marketing, property rights, business development, etc.)
- Convention-specific mechanisms

Data sources (indicative only)

- Public records of Convention stakeholders
- Relevant databases and publications and other authoritative entities (to be used when data is not available in the PRAIS system, and/or for cross referencing and validity checks)
- PRAIS (CONS-O-6, CONS-O-14, CONS-O-18)

Check the glossary for Incentive

Number of mechanisms in place in the country to facilitate the mobilization of resources for the implementation of the Convention, by type

Year	Laws and regulations	Economic and financial incentives	Cooperation frameworks	Sectoral policies
2010	4	0	3	2
2011	5	0	7	2

Qualitative assessment

Description of mechanisms

Mechanism	Description		
A - Laws and regulations	Экологический кодекс, Стратегический план Министерства охраны окружающей среды, Стратегический план Министерства сельского хозяйства, Стратегический план Агентства по управлению земельными ресурсами, Правила рационального использования земель сельскохозяйственного назначения		
B - Economic and financial incentives			
C - Cooperation frameworks	Разработка программы перехода к зел.экономике разработка и программы экообразования, обновление программы развития АПК, Инициатива стран ЦА по управлению земельными ресурсами (Проект по повышению потенциала), проект "Управление климат. рисками", разработка проекта о пастбищах, Drynet		
D - Sectoral policies	Отраслевая программа "Жасыл даму", Программа развития агропромышленного комплекса (АПК)		

Geographical level of application

	International	Regional	Subregional	National	Local
Α				X	X

В					
С	Х	X	X	X	Х
D				X	Х

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

- Веб-сайты ответственных государственных органов: Министерства охраны окружающей среды РК (www.eco.gov.kz), Министерства сельского хозяйства РК (www.minagri.gov.kz), Агентства по управлению земельными ресурсами (www.auzr.kz)
- Правила рационального использования земель сельскохозяйственного назначения, http://adilet.minjust.kz/rus/docs/P1100001297

Attachments:

None.

Indicator SO-4-7

Strategic Objective 4

To mobilize resources to support implementation of the Convention through building effective partnerships between national and international actors

Impact indicator SO-4-7 for expected impact 4.2 (Enabling policy environments are improved for UNCCD implementation at all levels)

Clear entrusting of institutional responsibilities for UNCCD implementation, at all levels

Understanding of the indicator

It provides an indication of the effectiveness of institutional arrangements for the implementation of the Convention with regard to the resource mobilization process

Data needed

- Evidence of institutional arrangements, instruments and mechanisms that facilitate resource mobilization, or the lack thereof
- Best practices in resource mobilization

Data sources (indicative only)

- Public records of Convention stakeholders
- Relevant databases and publications of authoritative entities
- PRAIS (Best Practices on finance and resource mobilization)

Check the glossary for

N.A.

Institutional set up, responsibilities, and arrangements to facilitate the implementation of the Convention

Year	International level	Regional level	Subregional level	National level	Local level
2010	fair	fair	good	fair	fair
2011	fair	fair	good	good	good

Qualitative assessment

Description of institutional arrangements

Level	Description	
A - International	встречи CRIC	
B - Regional	GEF ECW	
C - Subregional	встречи и обмен опытом в рамках сетей CACILM и Drynet	
D - National	усиление потенциала Национальной рабочей группы по реализации КБО, создание Центра межсекторальной координации для КБО, обновление НАП	
E - Local	распространение наилучших практик и тиражирование положительного опыта по управлению земельными ресурсами, восстановление традиционных знаний	

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

• Законодательная база РК в области охраны окружающей среды http://www.eco.gov.kz/new2012 /the-legal-framework-of-the-republic-of-kazakhstan/
Законодательная база РК в области сельского хозяйства http://www.minagri.gov.kz/ru/small/pages /ministierstvo/npa/765.html

Attachments:

None.

Performance Indicators

Operational Objective 1: Advocacy, awareness raising and education

Performance indicator CONS-O-1 for Outcome 1.1

Number and size of information events organized on the subject of DLDD and/or DLDD synergies with climate change and biodiversity, and audience reached by media addressing DLDD and DLDD synergies.

Understanding of the indicator

At the national level, the indicator measures the performance of Convention-related communication strategies, in particular whether DLDD issues and/or DLDD synergies with climate change and biodiversity are being communicated and if so, whether the communication is considered to be effective. Effectiveness is assessed through the appraisal of the media campaigns carried out; the assumption is that the stronger the media campaigns on DLDD issues and synergies, the higher the probability of passing the messages on to the target audiences. The focus of the indicator is on information activities specifically dedicated to DLDD issues and/or DLDD synergies with climate change and biodiversity. Other reporting entities will complement the information provided by affected country Parties by reporting on Convention-related communication strategies at the subregional, regional and global levels.

Data needed

- Information on events/media specifically addressing DLDD and/or DLDD synergies with climate change and biodiversity.
- Only events organized by major national DLDD stakeholders about which NFPs have been informed should be considered.
- Only the media products from the five most important national TV/radio channels and the five most relevant national newspapers should be considered.

Data sources (indicative only)

Attendance list of events (meetings, workshops, seminars), programme/project documents, major national media (TV/radio channels, newspapers), the Internet, organizers of events.

Check the glossary for

'NFP', 'Information events', 'Media products', 'National communication strategy', 'Participant'

Check the reporting manual for

'How can the number of information events and estimated number of participants in information events be determined?', 'How can the number of media products be determined?', 'How can the proportion of the population which is informed about DLDD and/or DLDD synergies with climate change and biodiversity be estimated?'

Overall target

By 2018, 30 per cent of the global population is informed about DLDD and/or DLDD synergies with climate change and biodiversity.

Number of information events

Year	Number of information events	Estimated number of participants in the information events
2010	25	750
2011	30	900

Estimated number of persons reached by media products and by key stakeholders

Year	Stakeholder	Paper media products	Radio and TV	other ICT
	Public at Large			
2010	Civil society organizations			
	Science and technology institutions			

Year	Stakeholder	Paper media products	Radio and TV	other ICT
	Public at Large			
2011	Civil society organizations			
	Science and technology institutions			

Number of media products made public

Year	Newspapers	Radio and TV
2010	40	20
2011	50	30

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

• Электронная газета "ЭкоПравда", веб-сайты Министерства охраны окружающей среды www.eco.gov.kz и Министерства сельского хозяйства www.minagri.kz

Attachments:

None.

National contribution to the target

On the basis of your best knowledge, estimate the proportion (%) of the population in your country which is informed about DLDD and/or DLDD synergies with climate change and biodiversity at the time of reporting?

Estimated share of total country population

10 %

Qualitative assessment

Is the information you have provided on communication processes part of a national communication strategy addressing environmental issues?

No answer provided.

Is there a national communication strategy addressing DLDD and/or DLDD synergies with climate change and biodiversity?

No

If yes, does the implementation of the national communication strategy complement the implementation of the UNCCD Comprehensive Communication Strategy?

No answer required.

If no, is your country implementing the UNCCD Comprehensive Communication Strategy?

Is your country implementing activities relating to the United Nations Decade for Deserts and the Fight Against Desertification (UNDDD)?

No

Performance indicator CONS-O-3 for Outcome 1.3

Number of civil society organizations (CSOs) and science and technology institutions (STIs) participating in the Convention processes.

Understanding of the indicator

At the national level, the indicator measures the level of participation of CSOs and STIs in DLDD-related programmes and projects. The indicator will outline whether the active involvement of these stakeholders in country-based initiatives increases over time and whether programmes/projects are valid tools for the engagement of, and receiving contributions from, CSOs and STIs at the field level. Other reporting entities will complement the information provided by affected country Parties by reporting on the involvement of CSOs and STIs at the subregional, regional and global levels; additionally, the secretariat and the GM will report on the involvement of CSOs and STIs at the institutional level.

Data needed

A list of the organizations involved in the programmes/projects in the reporting country as reported in the PPSs.

Data sources (indicative only)

PPSs submitted to the UNCCD as part of the reporting exercise.

Check the glossary for

'STIs', 'CSOs', 'PPS', 'Convention processes'

Check the reporting manual for

'Which CSOs involved in DLDD-related programmes/projects should be counted?'

Overall target

A steady growth in the participation of CSOs and STIs in the Convention processes is recorded along the implementation period of The Strategy.

Number of CSOs/STIs involved in each programme/project in the country

In the PPSs you have specified the number of CSOs and the number of STIs involved in each programme/project in your country. Add these numbers and give the totals by year in the table below.

Year	Number of CSOs involved in DLDD-related programmes/projects	Number of STIs involved in DLDD-related programmes/projects
2010	45	10
2011	45	15

Provide the names of these organizations.

Name

Союз фермеров Казахстана, НПО "Фермер Казахстана", "Школа фермера", Фонд сохранения биоразнообразия Казахстана, НПО "Акбота", "Исток", "Гульстан", "Ак-Кем-Риддер", «Талдыкорганское областное общество немцев «Видергебург», Частным фондом «Молодежный центр АРАЙ», «МКО Фонд содействия фермерам и предпринимателям», ПК «Кара-Казим», ТОО "Кайсар", НПО «Жумай», КХ "Жумай", СПК «Бирлестик», Фонд интеграции экологической культуры, ОО "Маметек", СПК «Уржар ет», КХ «К-ДЕН», ОО «Когал села Саду Шакирова», ТОО «Жардемши», ТОО «Или ИР», КХ «Жексенбаева», Ассоциация сохранения биоразнообразия Казахстана, Институт парламентаризма, и др.

Карагандинский государственный университет, АО «Республиканский центр по племенному делу в животноводстве «Асыл Түлік», Республиканский институт ботаники и фитоинтродукции, Казахстанский институт космических исследований, Казахстанский научно-исследовательским институт почвоведения и агрохимии, НПЦ «Животноводство и ветеринария», НПЦ «Механизация», Казахский национальный университет им. Аль-Фараби, и др.

National contribution to the target

At the time of reporting, is your government undertaking concrete initiatives to increase the participation of CSOs and STIs in DLDD-related programmes and projects?

Yes

If yes, provide a short description of actions taken at the national level to promote participation by CSOs and STIs in the Convention processes

Вовлечение ОГО и НТУ в обновление плана действий по борьбе с опустыниванием (в рамках Секторальной программы Жасыл даму), обновления Программы развития агропромышленного комплекса, в разработку программы по переходу к зеленой экономике и программы по экологическому образованию

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of the participation of CSOs and STIs to DLDD-related programmes/projects.

Reasons for increasing

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Increased networking and collaboration opportunities	4
Increased access to information and to national and/or international financing opportunities	4
Increased willingness of the government in working with CSOs	4
Increased interest of donors in working with CSOs	3
Strengthened organizational, project management and fund-raising capacity of CSOs	4
Increased funding opportunities requiring partnership with the STIs	3
Strengthened organizational, project management and fund-raising capacity of the STIs	4
Other	

Other (specify)

No answer provided.

Reasons for decreasing for CSOs

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Costly participatory processes	3
Low organizational, fund-raising and project management capacity of CSOs	1
Government policies and/or the legal environment do not foster the engagement of CSOs	4
Diminishing funding	4
Other	

Other (specify)

No answer provided.

Reasons for decreasing for STIs

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
DLDD topics are not prioritized by national STIs	4

Reason	Level of importance
Low organizational, fund-raising and project management capacity of STIs	3
Decreased networking opportunities at national and international level	3
Diminishing funding	4
Other	

Other (specify)

No answer provided.

Performance indicator CONS-O-4 for Outcome 1.3

Number and type of DLDD-related initiatives of civil society organizations (CSOs) and science and technology institutions (STIs) in the field of education.

Understanding of the indicator

At the national level, the indicator measures the number of DLDD-related initiatives undertaken by CSOs and STIs in the education sector. The assumption is that the higher the number of DLDD-related education initiatives undertaken by these stakeholders, the stronger their interest in addressing DLDD problems. This indicator focuses on "education" because "awareness" and "advocacy" are already measured through indicators CONS-O-1 and CONS-O-2, respectively. Other reporting entities will complement the information provided by affected country Parties by reporting on the involvement of CSOs and STIs at the subregional, regional and global levels.

Data needed

- Information on initiatives undertaken in the field of education that may be found in: written communications by CSOs and STIs to the NFP; contractual and/or programme/project-related documents; records of academic bodies and their curricula; and Internet resources made available by CSOs and STIs.
- Only initiatives in the field of education taken in the country and directly relating to DLDD issues are to be considered.

Data sources (indicative only)
CSOs and STIs operating in the country.

Check the glossary for 'CSOs', 'STIs', 'NFP', Education initiatives'

Check the reporting manual for

'Which CSOs involved in DLDD-related education initiatives should be counted?'

Overall target

A steady growth in the number of DLDD-related education initiatives undertaken by CSOs and science and technology institutions is recorded along the implementation period of The Strategy.

Number of DLDD-related initiatives undertaken by CSOs/STIs

Year	Number of DLDD-related initiatives undertaken by CSOs	Number of DLDD-related initiatives undertaken by STIs
2010	0	0
2011	1	0

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

http://www.carecnet.org/programs_and_projects/ecoupravl/proekt-drajnet-ii/

Attachments:

National contribution to the target

At the time of reporting, is your government undertaking concrete initiatives to increase the delivery of DLDD-related initiatives in the education sector by CSOs and STIs?

Yes

If yes, provide a short description of actions taken at the national level to increase the number of DLDD-related initiatives of CSOs and STIs in the field of education

Публикация сборника "Устойчивое землепользование: традиционные знания и наилучшие практики" для тиражирования положительного опыта и восстановления традиционных практик устойчивого управления земельными ресурсами.

ОГО и НТУ привлекаются в качестве подрядчиков для реализации отдельных мероприятий в рамках совместных с международными организациями проектов по ОД33.

Создание Центра межсекторальной координации для реализации КБО в Казахстане.

Qualitative assessment

Specify the reasons for the increasing and/or decreasing trend of DLDD-related education initiatives undertaken by CSOs and STIs.

Reasons for increasing

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Increased access to funding	3
Increased awareness of DLDD-related problems and of the need for action	5
Increased knowledge of DLDD-related topics and enhanced skills of trainers/teachers	5
Government policies are more supportive of education initiatives	3
International donors are more supportive of education-focussed initiatives.	3
Other	

Other (specify)

No answer provided.

Reasons for decreasing for CSOs

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Lack of financial resources	4
Insufficient awareness and knowledge by national CSOs of DLDD-related issues	2
Limited capillary presence of national CSOs at the grass-root level	4
Other	

Other (specify)

No answer provided.

Reasons for decreasing for STIs

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Lack of financial resources	3
National STIs are more focussed on research activities than on education and training	4
Other	

Other (specify)

No answer provided.

Operational Objective 2: Policy framework

Performance indicator CONS-O-5 for Outcomes 2.1, 2.2 and 2.3

Number of affected country Parties, subregional and regional entities to have finalized the formulation/revision of NAPs/SRAPs/RAPs aligned to The Strategy, taking into account biophysical and socio-economic information, national planning and policies, and integration into investment frameworks.

Understanding of the indicator

At the national level, the indicator measures the performance of affected country Parties in formulating or revising their NAPs in alignment with The Strategy. While providing information on this process, the indicator also outlines whether: (a) the analysis of DLDD drivers, barriers to possible solutions, and measures that may eventually overcome these barriers, has been carried out; (b) the alignment process has been supported by biophysical and socioeconomic baseline information; (c) the action programmes have been included in integrated investment frameworks; and (d) the action programmes have been integrated with other existing national plans and policies. The indicator will inform on the extent to which Parties have responded to decision 3/COP.8, paragraph 45, and on the feasibility of assessing the progress of The Strategy over its implementation period (2008–2018). Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on formulation or revision of SRAPs and RAPs in alignment with The Strategy.

Data needed

- UNCCD NAP. Only a NAP formally approved by the relevant governmental authorities is to be considered as 'finalized'
- Other relevant planning documents

Data sources (indicative only) UNCCD NFP.

Check the glossary for

'Finalized', 'NAP', 'NFP', 'driver', 'integrated investment framework', 'baseline', 'NAP formulation', 'NAP adoption', 'NAP alignment', 'Formulation of an aligned NAP'

Overall target

By 2014, at least 80 per cent of affected country Parties, subregional and regional entities have formulated/revised a NAP/SRAP/RAP aligned to The Strategy.

NAP Adoption and Revision

Had your country already adopted a NAP prior to The Strategy, i.e. before 31.12.2007? Yes

If your country had adopted a NAP prior to The Strategy, i.e. before 31.12.2007, specify the date of its approval.

1997-01-01

If your country had adopted a NAP prior to The Strategy, has it revised the NAP in alignment with The Strategy, i.e. after 1.1.2008?

No

If your country has revised the NAP in alignment with The Strategy, i.e. after 1.1.2008, specify the date of its

approval.

No answer required.

If your country has not revised the NAP in alignment with The Strategy, specify why the process was not initiated.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Not a priority for the government	3
Lack of capacities	4
Lack of financial resources	4
Understaffing	5
Lack of time	4
Poor internal coordination among relevant ministries	5
Other	

Other (specify)

No answer provided.

If your country had no NAP prior to The Strategy, has it formulated an aligned NAP after The Strategy's adoption, i.e. after 1.1 2008?

No answer required.

If yes, specify the date of its approval.

No answer required.

If your country has some specific issues with regard to the characteristics of the NAP and/or the status of its implementation, particularly in how they relate to its alignment with The Strategy, describe them briefly.

Первоначальная программа по борьбе с опустыниванием 1997 была упразднена, и в январе 2005 года была принята обновленная программа по борьбе с опустыниванием на 2005-2015 годы. Однако, в 2008 году и она потеряла силу. В настоящее время основные мероприятия из Программы 2005 года включены в Отраслевую программу "Жасыл даму".

If your country did not have a NAP by the end of the reporting period, specify why the process was not initiated.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important. *No answer required.*

Other (specify)

No answer required.

For countries having a NAP aligned to The Strategy Question marked as 'Skipped'.

Is your country's NAP supported by biophysical and socio-economic baseline information?

No answer provided.

Does your country's NAP assess DLDD drivers?

No answer provided.

Does your country's NAP assess the barriers to sustainable land management?

No answer provided.

If yes, does it include recommendations to remove these barriers?

No answer required.

Has your country's NAP been included in an integrated investment framework?

No answer provided.

Has your country's NAP been integrated into national development planning and relevant sectoral and investment plans and policies?

No answer provided.

If yes, has the NAP been integrated into your country's Poverty Reduction Strategy Paper?

No answer required.

Did your country refer to the guidelines on the alignment of action programmes with The Strategy as proposed in ICCD/COP(9)/2/Add.1 while revising the NAP to be in alignment with The Strategy or while formulating an aligned NAP?

No answer provided.

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

• Утратившая силу Программа по борьбе с опустыниванием на 2005-2015 годы http://adilet.minjust.kz/rus/docs/P050000049_

Отраслевая программа Жасыл даму http://adilet.minjust.kz/rus/docs/P100000924_

Attachments:

None.

National contribution to the target

If your country did not have a NAP aligned to The Strategy by the end of the reporting period, when do you plan to have it completed?

2012-2013

If you do not have an approved NAP aligned to The Strategy at the time of reporting, when do you plan to have it developed and approved?

No answer provided.

Qualitative assessment

Question marked as 'Skipped'.

Has the revision of the NAP to be in alignment with The Strategy or the formulation of an aligned NAP been supported by external assistance?

No answer provided.

If yes, did you receive assistance from one or more of the following institutions?

No answer required.

If yes, which type of assistance did you receive?

No answer required.

Identify the major difficulties experienced in the process of revising the NAP to be in alignment with The Strategy or in formulating an aligned NAP.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason

Level of importance

Reason	Level of importance
Not a priority for the government	
Poor availability of biophysical and socio-economic baseline information	
Existing investment frameworks are not fully compatible with the NAP	
Streamlining the NAP into existing plans and policies is too time-consuming	
Other	

Other (specify)

No answer provided.

Performance indicator CONS-O-7 for Outcome 2.5

Number of initiatives for synergistic planning/programming of the three Rio Conventions or mechanisms for joint implementation, at all levels.

Understanding of the indicator

At the national level, the indicator measures the existence of synergistic processes through the number of instruments (i.e. joint planning/programming and/or operational mechanisms) in place which foster the introduction of, or strengthen the mutually reinforcing measures among, the three Rio Conventions. The assumption is that the higher the number of enabling instruments in place, the higher the possibility of achieving synergies in implementation. This information will be complemented by the reporting of other reporting entities on synergistic processes at the subregional, regional and global levels.

Data needed

- Planning/programming documents and legislative/regulatory documents.
- Information on operational mechanisms explicitly aimed at achieving joint implementation, synergies and convergence, as well as at introducing or strengthening reinforcing measures among the Rio Conventions.

Data sources (indicative only)

Relevant national ministries.

Check the glossary for

'Joint planning/programming initiatives', 'Operational mechanisms for joint implementation or mutual reinforcement'

Check the reporting manual for

'Which synergistic instruments should be included?', 'Indicative list of activities by Parties to promote synergies among the Rio Conventions'

Overall target

By 2014, each affected country Party has either one joint national plan in place or functional mechanism(s) to ensure synergies among the three Rio Conventions.

Was your country implementing joint planning/programming initiatives for the three Rio Conventions in the reporting period?

No

If yes, specify the type of joint initiative(s)

No answer required.

Other (specify)

No answer required.

Did operational mechanisms for joint implementation or mutual reinforcement exist in your country during the reporting period?

No

If yes, specify the type of mechanism(s)

No answer required.

Other (specify)

No answer required.

Sources of information

List the synergistic instruments referred to above.

Specify the sources used to extract the information provided above. You may also upload relevant documents.

N/A

Attachments:

None.

National contribution to the target

If your country was not implementing joint planning/programming or did not have operational mechanisms in place by the end of the last reporting period, when do you plan to have synergetic instruments in place? 2012–2013

Qualitative assessment

Has the establishment of synergistic processes for joint implementation of the Rio Conventions at national level been supported by the institutions of the Rio Conventions?

No

If yes, by the institutions of which Convention?

No answer required.

Identify the major difficulties experienced in establishing synergistic planning/programming or mechanisms for joint implementation.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Not a priority for the government	3
Lack of capacities	4
Lack of financial resources	4
Understaffing	5
Lack of time	4
Poor internal coordination among relevant ministries	5
Other	

Other (specify)

No answer provided.

Operational Objective 3: Science, technology and knowledge

Performance indicator CONS-O-8 for Outcomes 3.1 and 3.2

Number of affected country Parties, subregional and regional entities to have established and supported a national/subregional/regional monitoring system for DLDD

Understanding of the indicator

At the national level, the indicator measures the monitoring potential of the country by quantifying the number of monitoring systems established and supported. These monitoring systems may be specifically dedicated to DLDD or may partially cover it. The indicator will inform on the extent to which it is realistic to expect more regular and coherent reporting by affected country Parties during the implementation of The Strategy and beyond. This information will be complemented by the reporting of other reporting entities on UNCCD-relevant monitoring systems established and supported at the subregional, regional and global levels.

Data needed

- Information on monitoring systems established within the national ministries or other bodies/institutions
- Programme/project documents and interim or final reports

Data sources (indicative only)

Relevant national ministries, programme/project management units, other non-governmental sources.

Check the glossary for

'monitoring system', 'monitoring system specifically dedicated to DLDD', 'monitoring system partially covering DLDD'

Check the reporting manual for

'Can a monitoring system that is not an environmental monitoring system, but which accounts for the socio-economic aspects of DLDD, be considered a DLDD monitoring system?', 'Can a meteorological monitoring system be considered a DLDD monitoring system?'

Overall target

By 2018, at least 60 per cent of affected country Parties, subregional and regional reporting entities have established and supported national monitoring systems for DLDD.

Is a monitoring system specifically dedicated to DLDD established at the national level?

No

If yes, specify whether this system is functional

No answer required.

If yes, specify whether this system is regularly updated

No answer required.

If no DLDD-specific monitoring system is in place, is a monitoring system partially covering DLDD established at the national level?

Yes

List any monitoring system available at the sub-national level that can contribute to the UNCCD reporting.

No answer provided.

List the main features of the monitoring system available at the national level, in particular those that can contribute to UNCCD reporting.

- Мониторинг земель Агентством по управлению земельными ресурсами: изучаются антропогенные и природные факторы, способствующие развитию процессов дефляции, водной эрозии, солонцеватости и засоления почв, загрязнения токсичными веществами, динамика содержания в почвах гумуса, азота, фосфора, подвижных
- элементов питания, микроэлементов, водно-физических и физико-химических свойств почв. Эта деятельность организована через государственную территориально-зональную сеть пунктов наблюдений, которая состоит из стационарных и полустационарных экологических площадок.
- Основной объем работы выполняется в данной области управления земельными ресурсами подведомственной организацией Агентства по управлению земельными ресурсами РГП

"Государственный научно-производственный центр земельных ресурсов и землеустройства" (ГосНПЦзем).

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

• Раздел "Земельный фонд" веб-сайта Агентства по управлению земельными ресурсами http://www.auzr.kz/ru/lands

Attachments:

None.

National contribution to the target

If your country did not have a national monitoring system specifically dedicated to DLDD or partially covering DLDD in place by the end of the reporting period, do you plan to initiate one?

Yes

If yes, when? 2012–2013

Qualitative assessment

For those countries not having a national monitoring system specifically dedicated to DLDD or partially covering DLDD, identify the major difficulties experienced in the establishment process.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Financial constraints	5
Lack of capacities	5
Human resources constraints	5
Lack of coordination among relevant ministries and unclear attribution of responsibilities	5
Lack of coordination among donor-led programme/project interventions	4
Existing initiatives are too fragmented; cannot be realistically coordinated under one umbrella	2
Existing national and/or sub-national monitoring systems use different methodologies and cannot be realistically harmonized	3
Other	

Other (specify)

No answer provided.

For those countries having a national monitoring system specifically dedicated to DLDD or partially covering DLDD, how is the system maintained?

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
By means of national resources	5
By means of external support	5

Reason	Level of importance
No maintenance is possible due to limited professional capacities	2
No maintenance is possible due to limited financial resources	2
Other	

Other (specify)

No answer provided.

Performance indicator CONS-O-9 for Outcome 3.1 and 3.2

Number of affected country Parties, subregional and regional entities reporting to the Convention along revised reporting guidelines on the basis of agreed indicators

Understanding of the indicator

At the national level, the indicator measures the use of biophysical and socio-economic information in defining a commonly agreed core set of impact indicators for the UNCCD and in monitoring progress against these indicators using harmonized methodologies. The indicator will inform to what extent it is possible to compile a comparable and global assessment of UNCCD impact. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on the use of impact indicators at the subregional and regional levels, if and when impact indicators for these levels will be commonly agreed upon by the Conference of the Parties.

Data needed

- Reports to the UNCCD by affected country Parties in 2012 and 2016.
- The information to report on this indicator will be compiled by affected country Parties every four years when reporting on the strategic objectives that require biophysical and socio-economic information (i.e. SO1, SO2 and SO3). **Reporting on this indicator is due in 2012 and in 2016 only.**

Data sources (indicative only) UNCCD NFP

Check the glossary for 'NFP'

Overall target

By 2018, at least 90 per cent of affected country Parties, subregional and regional reporting entities report to the Convention in compliance with the new reporting guidelines.

Has your country reported on the two impact indicators considered by decision 13/COP.9 to be the minimum reporting requirement?

Yes

Number of impact indicators for strategic objectives 1, 2 and 3 your country has reported on in 2012 and 2016

2012

2

2016

No answer provided.

While reporting on impact indicators, did you refer to the reporting guidelines, i.e. using the common baselines and methodologies defined by the CST?

No

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

N/A

Attachments:

None.

National contribution to the target

If in 2012 your country has not reported on some or all of the impact indicators for the UNCCD, do you plan to do so in 2016?

Yes

If in 2012 your country has not complied with the reporting guidelines, i.e. using the common baselines and methodologies defined by the CST, do you plan to do so in 2016?

Yes

Performance indicator CONS-O-10 for Outcome 3.3 and 3.4

Number of revised NAPs/SRAPs/RAPs reflecting knowledge of DLDD drivers and their interactions, and of the interaction of DLDD with climate change and biodiversity

Understanding of the indicator

The indicator measures knowledge-transfer processes from the theoretical to the operational level. This is done through an assessment carried out by affected country Parties (self-assessment) of the levels of traditional and scientific knowledge reflected in their NAPs. The assumption is that NAPs based on sound scientific and traditional knowledge will propose more significant and effective strategies and activities for implementation at the national level, and will, ultimately, perform better than those NAPs that do not take into account available knowledge on DLDD and DLDD synergies with climate change and biodiversity. The indicator will inform to what extent UNCCD implementation is likely to achieve meaningful results. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on the assessment of their SRAPs and RAPs.

Data needed

- NAP aligned to The Strategy
- Scientific literature consulted for the formulation/revision of the NAP

Data sources (indicative only)

UNCCD NFP

Check the glossary for

'NAP', 'NAP adoption', 'NAP alignment', 'NAP formulation', 'formulation of an aligned NAP', 'NFP', 'driver', 'drought', 'drought preparedness, including mitigation'

As this indicator is meant to contribute to the country's self-assessment of its aligned NAP, countries not having a NAP or not having revised their NAP in alignment with The Strategy do NOT report on this indicator.

Overall target

By 2018, at least 70 per cent of revised NAPs/SRAPs/RAPs have successfully gone through a quality self-assessment.

Sources of information

UNCCD NAP formulated taking account of, or revised in alignment with, The Strategy.

Assessment of the alligned NAP

In your NAP, is the identification of biophysical and socio-economic drivers, and of their interaction, knowledge-based?

No

If yes, specify upon which type of knowledge it is based

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important. *No answer required.*

If yes, specify upon which type of knowledge it is based

No answer provided.

If based on scientific literature, list the main reference literature consulted (add as many rows as needed). If reporting online, you may also upload relevant documents.

No answer required.

In your NAP, is the analysis of the interaction between DLDD and climate change or biodiversity knowledge-based?

No answer provided.

If yes, specify upon which type of knowledge it is based.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important. *No answer required.*

If yes, specify upon which type of knowledge it is based.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

No answer provided.

If based on scientific literature, list the main reference literature consulted (add as many rows as needed). If reporting online, you may also upload relevant documents.

No answer required.

Is drought policy and drought preparedness, including mitigation, analyzed and/or reflected in some of the actions outlined in the NAP?

No

If drought policy and drought preparedness, including mitigation, are not analyzed and/or reflected in some of the actions outlined in the NAP, when do you plan to do so?

2012-2013

National contribution to the target

If in your NAP, DLDD drivers, their interactions, and the interaction of DLDD with climate change and biodiversity are not analyzed on the basis of relevant scientific, expert and/or traditional knowledge, when do you plan to do so?

2012-2013

Qualitative assessment

If your NAP has not been developed taking into account relevant scientific and/or traditional knowledge, identify the reasons.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Relevant scientific literature is not available	3
Relevant traditional or expert knowledge is not available	4
Lack of financial resources to mobilise the necessary knowledge	4
Poor coordination among the relevant ministries prevented an internal pooling of knowledge/expertise	5

Reason	Level of importance
Relevant ministries could not contribute due to lack of time	4
Relevant ministries could not contribute due to lack of staff	5
Other	

Other (specify)

No answer provided.

Performance indicator CONS-O-11 for Outcome 3.5

Type, number and users of DLDD-relevant knowledge-sharing systems at the global, regional, subregional and national levels described on the Convention website

Understanding of the indicator

At the national level, the indicator measures the presence of DLDD-related knowledge-sharing processes, through the quantification of the type and number of existing knowledge-sharing systems. Effectiveness of these systems is measured through quantification of their user-base. The indicator will inform to what extent scientific and traditional knowledge, including best practices, are available to and sufficiently shared with end-users. This information will be complemented by the reporting of other reporting entities on existing UNCCD-relevant knowledge-sharing systems at the subregional, regional and global levels.

Data needed

- Information from websites.
- Only DLDD-relevant knowledge-sharing systems and networks shall be considered.

Data sources (indicative only)

Relevant organizations and ministries hosting knowledge-sharing systems and networks within their website

Check the glossary for

'knowledge-sharing system', 'PRAIS'

Check the reporting manual for

'How can you provide the number of users in a knowledge-sharing system?'

Overall target

By 2010 the Convention website has been restructured and includes a thematic database on knowledge-sharing systems as part of the PRAIS.

Knowledge-sharing systems

Question marked as 'Skipped'.

List any DLDD-relevant 'knowledge-sharing system' in your country you are aware of, providing an Internet link and estimated number of users per year.

Item 1

Name of the system

Internet link

Estimated number of users per year

List any DLDD-relevant 'knowledge-sharing system' in your country you are aware of, providing an Internet link and estimated number of users per year.

Add as many rows as necessary.

Operational Objective 4: Capacity building

Performance indicator CONS-O-13 for Outcomes 4.1 and 4.2

Number of countries, subregional and regional reporting entities engaged in building capacity to combat DLDD on the basis of NCSA or other methodologies and instruments

Understanding of the indicator

At the national level, the indicator measures the presence of capacity-building processes through the quantification of existing DLDD-related capacity-building initiatives. The indicator will inform to what extent affected country Parties may be expected to meet their obligations foreseen by the Convention, including forthcoming ones (i.e. new reporting requirements, establishment of monitoring systems, accessing new financing mechanisms). This information will be complemented by the reporting of other reporting entities on capacity-building initiatives at the subregional, regional and global levels.

Data needed

- Information on DLDD-related capacity-building initiatives; only programmes/projects mentioned in the PPSs that have DLDD-related capacity-building as a major objective are to be considered.

Data sources (indicative only)

- PPSs submitted to UNCCD as part of the reporting exercise
- Programme/project documents and interim or final reports of those programmes and projects identified through the PPSs as having DLDD-related capacity-building as a major objective

Check the glossary for

'capacity-building', 'capacity development', 'capacity-building initiative', 'NCSA', 'PPS'

Check the reporting manual for

'What can be considered as a programme or project that has DLDD-related capacity-building as a major objective?'

Overall target

By 2014, at least 90 per cent of affected country Parties, subregional and regional reporting entities implement DLDD-specific capacity-building plans or programmes/projects.

Number of DLDD-related capacity-building initiatives implemented

Year	NCSA-generated	Other initiatives
2010	0	0
2011	3	0

Provide relevant information on the size, scope, effectiveness and status of the initiatives reported.

В 2011 году Программой развития ООН совместно с Министерством охраны окружающей среды при поддержке Глобального механизма по борьбе с опустыниванием и Глобального экологического фонда в рамках Многостранового проекта по повышению потенциала Инициативы стран Центральной Азии по управлению земельными ресурсами были подготовлены: 1)Программа повышения потенциала устойчивого управления земельными ресурсами, 2) Комплексная финансовая стратегия для борьбы с опустыниванием и 3) Обзор программных и законодательных основ для устойчивого управления земельными ресурсами. Первые две будут учтены при обновлении Национального плана действий, в рамках последнего были выработаны предложения по мероприятиям по интеграции принципов УУЗР в программы и планы Агентства по управлению земельными ресурсами и Министерства сельского хозяйства.

Has your country assessed DLDD-related capacity-building needs at the national level?

If yes, within the framework of which initiative?
NCSA

Other (specify)

No answer required.

If yes, has your country assessed the necessary resources for addressing capacity-building needs?

Yes

If yes, are these resource requirements included in an investment framework?

No

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

• Программа повыш.потенциала устойчивого управления земельными ресурсами; Комплексная финансовая стратегия для борьбы с опустыниванием; Обзор программных и законодательных основ для устойчивого управления земельными ресурсами. Все материалы - Многострановой проект по повыш.потенциала ИСЦАУЗР,2011.

Attachments:

None.

National contribution to the target

If at the time of reporting there are no DLDD-specific capacity-building plans, programmes or projects implemented in your country, when do you plan to have something in place?

2012–2013

Qualitative assessment

Has your country received assistance from one or more of the following institutions to build capacities to combat DLDD?

- GM
- GEF
- Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)

If yes, which type of assistance have you received?

- Technical support
- Financial support

Operational Objective 5: Financing and technology transfer

Performance indicator CONS-O-14 for Outcome 5.1

Number of affected country Parties, subregional and regional entities whose investment frameworks, established within the IFS devised by the GM or within other IFSs, reflect leveraging national, bilateral and multilateral resources for combating desertification and land degradation

Understanding of the indicator

At the national level, the indicator measures the presence of integrated financing processes allowing the leverage of national, bilateral and multilateral resources for combating desertification and land degradation, through the quantification of investment frameworks developed by country Parties within the IFS devised by the GM or other IFSs promoted by diverse international institutions. This information will be complemented by the reporting of other reporting entities on the establishment of IIFs at national, subregional and regional levels.

Data needed

- Investment framework documents.
- Only investment frameworks prepared along the guidelines devised within IFSs shall be considered.

Data sources (indicative only) Relevant national ministries

Check the glossary for 'IFS', 'NAP', 'leveraging', 'IIF'

Overall target

By 2014, at least 50 per cent of affected country Parties, subregional and regional entities have developed IIFs.

Had your country developed an IIF by the end of the reporting period?

Yes

If yes, specify when it was developed. 2010-10-30

Is your country's IIF based on the NAP?

No answer provided.

If based on the NAP, who assisted in its development?

No answer provided.

Other (specify)

No answer required.

If assisted, which type of assistance did you receive?

No answer provided.

If assisted by the GM, was it devised within the IFS?

No answer provided.

If your country has an IIF based on the NAP, does this framework allow for the leveraging of national, bilateral and multilateral resources for combating DLDD?

No answer provided.

Did your country receive assistance from the GM in exploring non-traditional and innovative channels of financial resources?

No

Short overview of the progress in implementing the IIF

Provide a short overview of the progress in implementing the IIF in your country, in particular as it relates to its functionality and efficiency in leveraging the funding necessary for implementing the Convention.

30 октября 20010 постановление Правительства № 1145 была утверждена Программа по привлечению инвестиций, развитию специальных экономических зон и стимулированию экспорта в Республике Казахстан на 2010 - 2014 годы. Касательно привлечения финансирования по борьбе с ООДЗ, то оно возможно в рамках запланированного мероприятия по совершенствованию инвестиционного законодательства, а именно расширения доступа иностранных инвесторов к земельным ресурсам сельскохозяйственного назначения. Ответственными по данному мероприятию было назначены Министерство сельского хозяйства и Агентство по управлению земельными ресурсами, которые во втором квартале 2012 года должны были предоставить соответствующие предложения в Министерство индустрии и новых технологий.

Specify the sources used to extract the information provided above. You may also upload relevant documents.

• Программа по привлечению инвестиций, развитию специальных экономических зон и стимулированию экспорта в Республике Казахстан на 2010 - 2014 годы http://adilet.minjust.kz /rus/docs/P1000001145

Attachments:

None.

National contribution to the target

If your country had not developed an IIF by the end of the reporting period, do you plan to do it?

Yes

If yes, when? 2014–2015

Qualitative assessment

Identify the major difficulties experienced in developing an IIF.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Financial constraints	4
Human resources constraints	4
Lack of coordination among relevant ministries and unclear attribution of responsibilities	5
Lack of coordination among those providing support	5
National bilateral and multilateral resources are too diverse; cannot be realistically coordinated under one umbrella.	4
Other	

Other (specify)

No answer provided.

Performance indicator CONS-O-16 for Outcome 5.2

Degree of adequacy, timeliness and predictability of financial resources made available by developed country Parties to combat DLDD

Understanding of the indicator

This is a qualitative indicator requiring the perception-based assessment by developing affected country Parties of the adequacy, timeliness and predictability of bilateral contributions received from developed country Parties for the implementation of the Convention. "Adequate", "timely" and "predictable" resources are frequently referred to in The Strategy as being necessary to ensure proper planning and effective implementation. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on their perception-based assessments.

Data needed

-

Data sources (indicative only)

-

Check the glossary for

Only affected country Parties entitled to receive assistance under the UNCCD are requested to report on this indicator.

Refer your assessment to the following biennium only:

- In 2010, biennium 2008-2009
- In 2012, biennium 2010-2011

Overall target

No target has been set for this indicator.

Bilateral assistance received

How would you rate the bilateral assistance received within the framework of UNCCD for the implementation of The Strategy and of the Convention?

Adequacy of bilateral assistance

Adequate

Timeliness of bilateral assistance

Timely

Predictability of bilateral assistance

Predictable

Provide narrative justification on your above rating

Поддержка, полученная от GEF в рамках реализации Конвенции по борьбе с опустыниванием является крайне адекватной, своевременной и актуальной. Во-первых, из-за того, что государственный бюджет, доступный на борьбу с опустыниванием и восстановление деградированных земель, является очень ограниченным, то поддержка ГЭФ в рамках проектов, реализованных и реализуемых в Казахстане через ПРООН и Всемирный банк, позволяет стране продемонстрировать прогресс в решении проблем ОД33. Более того, мероприятия, запланированные в рамках данных проектов, в основном, согласуются с национальной политикой и программами по данному направлению.

Additional information on any other impacting aspects

If relevant, provide additional information on whether there are any other aspects beyond adequacy, timeliness and predictability of financial support made available by developed country Parties to combat DLDD which impact proper planning and effective implementation of the Convention in your country.

No answer provided.

Qualitative assessment

Did you receive assistance in raising resources from bilateral donors?

Yes

If yes, from whom?

- **GM**
- GEF
- Bilateral
- Multilateral (United Nations agencies, IGOs, international financing institutions, etc.)

Other (specify)

No answer required.

Has the level of adequacy, timeliness and predictability of bilateral assistance constrained your country's performance in planning and implementation with respect to UNCCD?

Performance indicator CONS-O-17 for Outcome 5.3

Number of DLDD-related project proposals successfully submitted for financing to international financial institutions, facilities and funds, including the GEF

Understanding of the indicator

At the national level, the indicator measures the capacity of fund-raising through the quantification of project proposals successfully submitted for funding to the various financing organizations. The indicator will inform to what extent affected country Parties make increasing efforts to mobilize resources. This information will be complemented by the reporting of other reporting entities on the fund-raising efforts at national, subregional and regional levels.

Data needed

Information contained in the PPSs and SFAs submitted to UNCCD.

Data sources (indicative only)

- PPSs and SFAs submitted to UNCCD as part of the reporting exercise.
- The PPS requires specification of the project 'status' thus it allows the identification of relevant projects to be considered by this indicator and the monitoring of their approval status.
- The SFA requires the specification of amounts committed to approved projects.

Check the glossary for

'PPS', 'SFA', 'project proposals', 'currency', 'successfully submitted proposals'

Overall target

A steady growth in the number of DLDD-related successfully submitted project proposals is recorded along the implementation period of The Strategy.

Sources of information

PPSs and SFAs

Number of project proposals submitted (pipeline) and ongoing, by biennium

Biennium	Submitted (pipeline)	Ongoing
2010–2011	2	13

Amount of funds raised, by biennium

You can find the amount of funds raised for the ongoing projects in the corresponding SFAs. Sum these amounts and give the total in the below table.

Biennium	Currency	Total amount
2010–2011	USD	38800000

National contribution to the target

According to the information provided above, do you think that your country is mobilizing enough resources from international financial institutions, facilities and funds through successfully submitted project proposals?

Yes

If no, does your country plan to increase its efforts in presenting project proposals to international financial institutions, facilities and funds?

No answer required.

What percentage of financing used for the implementation of DLDD-related programmes and projects comes from national sources, and what percentage from international sources?

Source	%
National sources	5
International sources	95

Qualitative assessment

Identify the reasons for the increasing or decreasing trend of project proposals successfully submitted to international financial institutions, facilities and funds.

Reasons for increasing

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Easier and more transparent application procedures	3
Increased capacities of national stakeholders to prepare applications	4
Major natural hazards occurred at the national level considerably increased the level of resources made available by the international community	0
Access to funding is increasingly facilitated by third parties such as the private sector	2
Existence of a financing strategy (IFS or others)	2
Other	

Other (specify)

No answer provided.

Reasons for decreasing

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Financing opportunities are not publicized enough, lack of access to necessary information	3
Complicated application procedures, the level of complexity being worsened by the different requirements of the various donors	4
Limited financial resources are made available for DLDD-related programmes/projects, and lack of DLDD-specific allocations within donors' portfolio.	4
Other	

Other (specify)

No answer provided.

Performance indicator CONS-O-18 for Outcome 5.5

Amount of financial resources and type of incentives which have enabled access to technology by affected country Parties

Understanding of the indicator

The indicator measures whether access to technology is facilitated by means of financial resources or economic and policy incentives. At the national level, the indicator will inform to what extent an enabling environment for technology transfer has been created and whether sufficient resources are dedicated to technology transfer. Subregional and regional reporting entities will complement the information provided by affected country Parties by reporting on

financial resources and type of incentives which have enabled access to technology at the subregional and regional levels.

Data needed

- Budgets of relevant programmes and projects
- Information on policy/regulatory, financial and fiscal incentives. Incentives facilitating access to technology are those established and implemented at the national level, and not necessarily only within the framework of DLDD-related cooperation.

Data sources (indicative only)

- Financial documents of programmes and projects submitted as PPSs to the UNCCD as part of the reporting exercise
- National policy, regulatory and economic/financial documents

Check the glossary for

'technology transfer', 'technical support', 'incentive', 'PPS'

Check the reporting manual for

'How to disaggregate the amounts by year?', 'How to measure the effectiveness of technology transfer initiatives?'

Overall targets

- A steady growth in the financial resources allocated to facilitate access to technology by affected country Parties is recorded along the implementation period of The Strategy.
- A steady growth in the number of economic and policy incentives reported upon is recorded along the implementation period of The Strategy.

Estimate of amounts allocated to facilitate access to material and to knowledge aid (technology transfer)

Year	Currency	Amount
2010		
2011		

Estimate of amounts allocated to facilitate access to material and to knowledge aid (technology transfer)

Year	Technical support – material aid	Technical support – knowledge aid
2010		
2011		

Has your country established incentives intended to facilitate access to technology?

Yes

If yes, specify which types of incentives.

• Policy or regulatory incentives (for example, related to market requirements and regulations, import/export, foreign investment, research & development support, etc.)

Provide a short overview of specific aspects and the nature of technology transfer in your country, in particular in relation to those aspects where there is a need to increase the level of technology transfer.

В 2010 году в Казахстане был создано Министерство индустрии и новых технологий (МИНТ), а составе Министерства охраны окружающей среды появился новый департамент зеленых технологий и привлечения инвестиций. Однако деятельность по передаче технологий и, в особенности, зеленых технологий только разворачивается. В этой связи в 2010 году была принята Программа по привлечению инвестиций, развитию специальных экономических зон и стимулированию экспорта в Республике Казахстан на 2010 - 2014 годы, в рамках которой в первом квартале 2012 года Агентство по управлению земельными ресурсами и Министерство сельского хозяйства должны были предоставить в МИНТ предложения по расширению доступа иностранных

инвесторов к земельным ресурсам сельскохозяйственного назначения. К концу 2012 по поручению Президента РК Министерство охраны окружающей среды РК должно будет разработать Программу перехода к зеленой экономике, ведущую роль в которой будут играть внедрение инновационных технологий в управление окружающей средой и, в том числе, земельными ресурсами.

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

- Программа по привлечению инвестиций, развитию специальных экономических зон и стимулированию экспорта в Республике Казахстан на 2010 2014 годы http://adilet.minjust.kz /rus/docs/P1000001145
- Материалы по Программе перехода РК к зеленой экономике: http://www.eco.gov.kz/new2012/2012/09/%D0%BA%D0%B0%D0%B7%D0%B0%D1%85%D1%81%D1%82%D0%B0%D0%BD-%D1%80%D0%B0%D0%B1%D0%B0%D1%82%D1%8B%D0%B2%D0%B0%D0%B5%D1%82-%D0%BF%D1%83%D1%82%D0%B8-%D0%B2%D0%BD%D0%B5%D0%B4%D1%80%D0%B5/

Attachments:

None.

National contribution to the target

According to the information provided above, do you think that enough resources are allocated through DLDD-related programmes and projects to facilitate access to technology by your country?

No

If your country has no incentives in place or if existing incentives to facilitate the creation of an enabling environment for technology transfer do not prove to be effective, are you planning to enforce additional measures?

Yes

If yes, when? 2014–2015

Qualitative assessment

If existing incentives do not prove to be effective, identify possible reasons.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Policy or regulatory incentives are not enforced	3
There are not enough resources to apply financial or fiscal incentives	4
The national financial and credit systems (banks, credit agencies, etc) are not supportive	4
Other	

Other (specify)

No answer provided.

Identify the reasons for the increasing trend of financial resources allocated through DLDD-related programmes and projects to facilitate access to technology.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance	

Reason	Level of importance
Access facilitated by the spreading of IT	4
More appropriate technologies available	3
Appropriateness of government incentives	1
Other	

Other (specify)

No answer provided.

Identify the reasons for decreasing trend of financial resources allocated through DLDD-related programmes and projects to facilitate access to technology.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Technology sustainability is poor; technologies do not represent viable investments	3
Lack of fixed infrastructure for accessing technologies (those created on an ad hoc basis disappear once the support ends)	4
Lack of capacities for operation and maintenance of technologies	4
Lack of enabling policy and regulatory environments	3
Other	

Other (specify)

No answer provided.

Standard Financial Annex

The CRIC has recommended that financial reporting be based on a standard financial reporting format to be used by affected country Parties and their development partners. It also indicated that emphasis in reports should be put on financial matters and also on an analysis of the impact of the activities undertaken (ICCD/CRIC(8)/5).

The purpose of the SFA is to consolidate information on resources mobilized by affected country Parties and their development partners under the framework of relevant strategies and action programmes. It facilitates the aggregation of data on financial commitments, financial flows and resources available by all relevant funding sources for activities related to the implementation of the Convention. It also helps minimize double counting in financial statistics (ICCD/CRIC(8)/5/Add.4).

The SFA is to be used by each country Party and other reporting entities to list all financial commitments they have made during the reporting period in support of institutions, programmes, projects, as well as other relevant initiatives undertaken at national or international level for the implementation of the Convention.

More specifically, for each relevant financial commitment or allocation made in the reporting period, the SFA requires a minimum set of data grouped as follows:

- a. Identification, i.e. data required to identify the reporting entity, the funding source and the activity financed;
- b. Basic data, i.e. data specifying the amount and type of financial commitment made, as well as the recipient country, region, and/or organization, and the funding period, if applicable;
- c. Classification, i.e. categorization of the funded activity according to the Rio Markers for desertification, and the UNCCD Relevant Activity Codes (RACs).

The compilation of the SFA is guided by means of a template, which responds to the recommendations of CRIC 7, and builds on the GM methodological guide for financial reporting presented to CRIC 6 as part of the report of the intergovernmental Ad Hoc Working Group to improve the procedures for communication of information.

Within the template, shaded areas contain information and explanatory texts, while white areas are for reporting purposes and need to be filled in by the reporting entities with relevant data or narrative information.

Decision 13/COP.9, paragraph 8, invites country Parties and other reporting entities to refer to common terminology and definitions. Therefore, these guidelines should be read in conjunction with the comprehensive glossary of performance indicators for the review of implementation of The Strategy and Best Practices, common to all reporting entities and contained in a separate document (ICCD/CRIC(9)/13).

Financial Commitment #1 — Syr Darya Control & Northern Aral Sea Phase I Project

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

Kazakhstan - CCD Focal Point - Ministry of Natural Resources and Environment Protection

Other

No answer provided.

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- World Bank Group
- Kazakhstan CCD Focal Point Ministry of Natural Resources and Environment Protection

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Syr Darya Control & Northern Aral Sea Phase I Project

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Kazakhstan

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

• Kazakhstan - CCD Focal Point - Ministry of Natural Resources and Environment Protection

Other

No answer provided.

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2001-06-01**

Amount committed

Enter the total amount of money committed 85790000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Basket Funding

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2001-06-30**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2010-12-01**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months

No answer provided.

Rio Marker for desertification

Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents.

No answer provided.

Attachments:

None.

Financial Commitment #2 — Agricultural Competitiveness Project

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

· Kazakhstan - Ministry of Agriculture

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

• World Bank Group

Other

· Kazakhstan - Ministry of Agriculture

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Agricultural Competitiveness Project

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

- Central Asia
- Kazakhstan

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to *No answer provided.*

Other

• Kazakhstan - Ministry of Agriculture

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2005-04-01**

Amount committed

Enter the total amount of money committed 83100000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Basket Funding

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2005-04-30**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2012-06-30**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification

Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents.

No answer provided.

Attachments:

None.

Financial Commitment #3 — Drylands Management GEF Project

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

Kazakhstan - CCD Focal Point - Ministry of Natural Resources and Environment Protection

Other

No answer provided.

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- Global Environment Facility
- Kazakhstan CCD Focal Point Ministry of Natural Resources and Environment Protection
- World Bank Group
- Global Mechanism

Other

No answer provided.

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial

Drylands Management GEF Project

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Kazakhstan

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

Kazakhstan - CCD Focal Point - Ministry of Natural Resources and Environment Protection

Other

No answer provided.

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization 2003-06-01

Amount committed

Enter the total amount of money committed 9700000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Basket Funding

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2003-06-30**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2010-03-31**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification

Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

Attachments:

None.

Financial Commitment #4 — Steppe Conservation and Management

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

Kazakhstan - Ministry of Agriculture

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- United Nations Development Programme
- Germany CCD Focal Point Federal Ministry for Economic Cooperation and Development (BMZ)
- Global Environment Facility

Other

No answer provided.

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Steppe Conservation and Management

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Kazakhstan

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

United Nations Development Programme

Other

Kazakhstan - Ministry of Agriculture

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2008-12-01**

Amount committed

Enter the total amount of money committed 23700000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Basket Funding

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2008-12-31**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2013-12-31**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

Attachments:

None.

Financial Commitment #5 — Conservation and Sustainable Use of Biodiversity in the Kazakhstani Sector of the Altai-Sayan Ecoregion

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

· Kazakhstan - Ministry of Agriculture

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- Global Environment Facility
- Germany CCD Focal Point Federal Ministry for Economic Cooperation and Development (BMZ)

Other

Kazakhstan - Government

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Conservation and Sustainable Use of Biodiversity in the Kazakhstani Sector of the Altai-Sayan Ecoregion

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Kazakhstan

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

United Nations Development Programme

Other

Kazakhstan - Ministry of Agriculture

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2008-02-01**

Amount committed

Enter the total amount of money committed 21000000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Basket Funding

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2008-02-29**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2012-02-29**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

Attachments:

None.

Financial Commitment #6 — In-situ conservation of Kazakhstan's mountain agrobiodiversity (full-scale project)

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

· Kazakhstan - Ministry of Agriculture

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- Global Environment Facility
- United Nations Development Programme

Other

• Kazakhstan - Ministry of Agriculture

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

In-situ conservation of Kazakhstan's mountain agrobiodiversity (full-scale project)

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Kazakhstan

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

United Nations Development Programme

Other

Kazakhstan - Ministry of Agriculture

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2006-03-01**

Amount committed

Enter the total amount of money committed 22600000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Basket Funding

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2006-03-31**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2011-12-31**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

Attachments:

None.

Financial Commitment #7 — Integrated conservation of priority globally significant migratory bird habitat: a demonstration on three sites

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

· Kazakhstan - Ministry of Agriculture

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- Global Environment Facility
- United Nations Development Programme

Other

Kazakhstan - Ministry of Agriculture

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Integrated conservation of priority globally significant migratory bird habitat: a demonstration on three sites

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Kazakhstan

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

United Nations Development Programme

Other

Kazakhstan - Ministry of Agriculture

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2003-07-01**

Amount committed

Enter the total amount of money committed 35900000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Basket Funding

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2003-07-31**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable 2012-07-31

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

Attachments:

None.

Financial Commitment #8 — Assistance in Clean Coal and Environmentally sound Storage Solutions (ACCESS)

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

- Kazakhstan Ministry of Industry and New Technologies
- · Kazakhstan Ministry of Oil and Gas

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

• European Union

Other

No answer provided.

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Assistance in Clean Coal and Environmentally sound Storage Solutions (ACCESS)

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

- Kazakhstan
- Belgium

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to *No answer provided*.

Other

• Belgium - Hasselt University

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2011-01-01**

Amount committed

Enter the total amount of money committed 500000 Euro

Type of funding

Indicate the type of funding provided through the financial commitment.

Grant

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2011-01-01**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable 2012-12-31

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

Attachments:

None.

Financial Commitment #9 — Targeted awareness raising for enhanced EU-CA partnership

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

Central Asian Regional Environmental Center

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

• European Union

Other

No answer provided.

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Targeted awareness raising for enhanced EU-CA partnership

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

• Central Asia

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to *No answer provided.*

Other

Central Asian Regional Environmental Center

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2011-01-01**

Amount committed

Enter the total amount of money committed 800000 Euro

Type of funding

Indicate the type of funding provided through the financial commitment.

Grant

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2011-01-31**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2013-12-31**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification

Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance

note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents.

No answer provided.

Attachments:

None.

Financial Commitment #10 — Multicountry Capacity Building Project

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

• United Nations Development Programme

Other

No answer provided.

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

- Global Environment Facility
- United Nations Development Programme

Other

• Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ)

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

Multicountry Capacity Building Project

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Central Asia

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to

United Nations Development Programme

Other

No answer provided.

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2010-02-01**

Amount committed

Enter the total amount of money committed 3673000 US Dollar

Type of funding

Indicate the type of funding provided through the financial commitment.

Gran

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2010-02-28**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable 2012-12-31

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months

No answer provided.

Rio Marker for desertification

Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

Attachments:

None.

Financial Commitment #11 — CAREC as sub-regional node of Asia-Pacific Adaptation Network

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

Central Asian Regional Environmental Center

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

• United Nations Environment Programme

Other

• AIT/UNEP RRC.AP

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

CAREC as sub-regional node of Asia-Pacific Adaptation Network

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Central Asia

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to *No answer provided.*

Other

Central Asian Regional Environmental Center

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

No answer provided.

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2010-08-01**

Amount committed

Enter the total amount of money committed

0 ---

Type of funding

Indicate the type of funding provided through the financial commitment.

Grant

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2010-08-31**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable **2012-09-30**

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months *No answer provided.*

Rio Marker for desertification

Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents. *No answer provided.*

None.

Financial Commitment #12 — DRYNET II - a "springboard" to promote resilience in drylands

Reporting Entity

Enter the name of the country or organization submitting the official report to the UNCCD to which the financial commitment will be attached in the form of a consolidated Standard Financial Annex

No answer provided.

Other

• Central Asian Regional Environmental Center

Identification code

Enter the Identification Code (ID), number or acronym given to the activity funded (if known)

N/A

Funding organization

Enter the full name and acronym (if applicable) of the organization that has made the financial commitment

• Swiss Agency for Development and Cooperation

Other

· Personnel cooperation in developing countries

Name of activity funded

Enter the name or title of the activity, project, programme, organization or initiative funded with this financial commitment

DRYNET II - a "springboard" to promote resilience in drylands

Recipient country(ies) or (sub)region(s)

Enter the name of the country(ies), subregion(s) or region(s) in which the activity is taking place or is due to take place. Indicate "Global" if the activity is of global scale or has no specific geographical focus

Central Asia

Recipient organization(s)

Enter the full name and acronym of the organization(s) to which the funds have been or will be transferred to *No answer provided.*

Other

Central Asian Regional Environmental Center

Executing Agency(ies)

Enter the full name an acronym of the Agency(ies) or Organization(s) that is/are in charge of the execution of the activity

Commitment date

Enter the date at which the financial commitment has been formally approved by the extending organization **2011-01-01**

Amount committed

Enter the total amount of money committed

0 ---

Type of funding

Indicate the type of funding provided through the financial commitment.

Grant

Start date

Enter the date at which the funding has been or is expected to be made available to the recipient organization **2011-01-31**

Completion date

Enter the date at which the funding has been or is expected to be utilized by the recipient organization, if applicable 2013-12-31

Duration (no. of months)

Indicate the period covered by this funding, if applicable, expressed in number of months

No answer provided.

Rio Marker for desertification

Question marked as 'Skipped'.

Assign the appropriate Rio Marker for desertification to the funded activity by ticking only one of the boxes below (refer to the Rio Markers guidance note for more information, examples and instructions)

No answer provided.

Relevant Activity Codes (RACs)

Question marked as 'Skipped'.

Indicate all the Relevant Activity Codes (RACs) that may apply to the funded activity (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Sources of information

Question marked as 'Skipped'.

Specify the sources used to extract the information provided above. You may also upload relevant documents.

No answer provided.

Attachments:

None.

Programme and Project Sheets

Programme and Project Sheets (PPS) are used to provide more detailed information on programmes or projects undertaken or completed in the reporting period. This includes programmes and projects in the pipeline, as well as final proposals submitted for funding to internal or external funding sources. All country Parties and other reporting entities involved in the financing, coordination or implementation of relevant programmes and projects are requested to prepare a PPS for each of them, and to attach them to their official report to the UNCCD.

The compilation of the PPS is guided by means of a template. These templates are intended to collect a minimum set of qualitative and quantitative data to facilitate the analysis of funding and investment flows, and the production of better financial statistics related to UNCCD implementation (ICCD/CRIC(8)/5/Add.4), with a view to enabling the CRIC to undertake an objective review of progress in the implementation of the Convention and The Strategy. The PPS also facilitate the computation of certain performance and impact indicators .

A distinctive feature of the PPS is that it allows country Parties and other reporting entities to specify which strategic and operational objectives of The Strategy are targeted by each programme or project. In addition, it allows for individual programme or project components to be categorized using the Rio Markers for desertification and Relevant Activity Codes (RACs).

Furthermore, the PPS can be used to indicate whether the objectives of other Rio Conventions (i.e. the United Nations Convention on Biological Diversity, UNCBD – and the United Nations Framework Convention on Climate Change, UNFCCC) are also addressed by the programme or project. This is done through the use of the biodiversity and climate change Rio Markers, respectively.

The PPS offers an opportunity to increase the visibility of relevant programmes and projects, thereby creating the conditions for a better sharing of experiences and lessons, as well as the transfer of knowledge in general. It also favours collaboration and networking by facilitating the identification of potential synergies.

Lastly, the PPS also allows country Parties and other reporting entities to provide a narrative description of the expected or achieved results. This information will facilitate the qualitative assessment of progress in the implementation of The Strategy, including on returns on investment. The CRIC will use the analysis of financial information originating from the PPS to assess results, performance and impacts.

To minimize the reporting burden and avoid discrepancies in the information annexed to the reports of different entities, it is recommended that project partners identify the most suitable ways to coordinate among themselves the preparation of PPS to ensure that consistent data are reported for the same projects. It would also be advisable to compile just one PPS for large "umbrella" programmes, instead of separate PPS for each small project stemming from them.

Programme/Project #1 — Mobilizing Support to the NAP Alignment and UNCCD Reporting and Review Process

Reporting entity(ies)

Enter the full name and acronym of the reporting entity(ies)

United Nations Development Programme

Other

No answer provided.

Identification code

Enter the Programme/Project identification code (ID) or number, given by the relevant extending agency (if applicable) **N/A**

Title

Enter the Programme/Project title, and sub-title if applicable.

Mobilizing Support to the NAP Alignment and UNCCD Reporting and Review Process

Role of the Organization(s) in the Programme/Project

Indicate the role of the reporting entity(ies) in the Programme/Project (e.g. funding agency, implementing agency, etc.)

Implementing agency

Civil Society Organizations (CSOs) and Science & Technology Institutions (STIs)

Enter the name(s) of Civil Society Organizations (CSOs), including Non-Governmental Organizations, research institutions and-or Science and Technology Institutions (STIs) involved in the Programme/Project. Note: This information should be taken into account in the computation of performance indicator no. CONS-O-3.

- Universities (Kazakh National Agrarian University, the Eurasian National University, Al-Farabi Kazakh State University, Nazarbayev University, Karaganda State University); NGOs ("Farmer of Kazakhstan", the EcoForum of NGOs, Association for Conservation of Biodiversity of Kazakhstan etc).
- Specialized institutions of the Ministry of Environment Protection (RSE "Information Analytical Center of Environment Protection", RSE "Kazakh Scientific Research Institute on Ecology and Climate", etc).
- Specialized institutions of the Ministry of Agriculture (JSC KazAgroInnovaciya, the Kazakh Research Institute of Livestock and Feed Production, the Kazakh Research Institute of Water Resources, etc.).

Beneficiary Country(ies) or Sub Region(s)

Enter the name of the country(ies), subregion(s) and/or region(s) benefiting from the Programme/Project. Indicate "Global" in the absence of a specific geographical focus

Kazakhstan

Target area size/administrative unit

Area Size

Indicate the total area expressed in number of hectares

272490200 hectares

Administrative Unit

Indicate the administrative unit targeted in the project area, if known, by the Programme/Project.

Республика Казахстан

Target Group

No answer provided.

Beneficiaries

Enter the total number of people benefitting from the Programme/Project, if known.

No answer provided.

Start date

Indicate the date at which the Programme/Project started or is due to start, if known.

2013-01-01

Completion date

Indicate the date at which the Programme/Project was completed or is due to be completed, if known.

2014-06-30

Status

Indicate the status of the Programme/Project at the time of completing this form.

Pipeline

Programme/Project co-financing

Source

Provide the full name and acronym of all co-financing organisations

No answer provided.

Other

No answer provided.

Currency, Amount

For each co-financing, indicate the currency denomination used (e.g. EUR, USD, YEN, etc.)
Indicate the amount of funding provided by each co-financing organisation (numeric field. Do not use abbreviations, symbols or decimals)

No answer provided.

Programme/Project co-financing

Item 1

Source

Source (other)

Currency

USD

Amount

209000

United Nations Conventions' Rio Markers

Assign the appropriate Rio Marker to the Programme/Project (refer to the Rio Markers guidance note for more information, examples and instructions)

Question marked as 'Skipped'.

UNCCD

No answer provided.

UNFCCC adaptation

No answer provided.

UNFCCC mitigation

No answer provided.

CBD

No answer provided.

Strategic objectives

Indicate which strategic objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 1
- 2
- 3
- 4

Operational objectives

Indicate which operational objective of the UNCCD 10-Year Strategy is addressed by the Programme/Project

- 1
- 2
- 3
- 4
- 5

Sector(s) of intervention

Indicate the sector(s) of intervention as specified in the related documentation, choosing from the list of purpose codes provided in the quick reference guide (ICCD/CRIC(9)/INF.11). The OECD list of purpose codes is also available at the following link: http://www.oecd.org/document/21/0,3343,en_2649_34447_1914325_1_1_1_1,00.html.

• 41010 Environmental policy and administrative management

Programme/Project Components

No answer provided.

Relevant Activity Code(s) (RACs) Question marked as 'Skipped'.

Question marked as Skipped.

Indicate all RACs that may apply to the Programme/Project (refer to the RACs guidance note for more information, examples and instructions).

No answer provided.

Expected or achieved results

Provide information on the results achieved or expected from the implementation of the Programme/Project.

- 1: To ensure the alignment of the NAP with the UNCCD 10-Year Strategy and country NAP/DLDD-relevant policies
- 1.1. Inventory of policy and planning tools
- 1.2. Strengthened human and scientific capacity, as well as raised awareness for NAP alignment
- 1.3. Strengthened policy and institutional framework for NAP alignment and implementation.
- 1.4. Established financial and technological framework for NAP implementation
- 2: Support to UNCCD reporting and review
- 2.1. Strengthen human and scientific capacity for implementation of indicators based on monitoring and assessment
- 2.2. A report within the second leg of the fourth reporting and review cycle is prepared and submitted
- 2.3. Enhanced national ownership of a reporting process

Sources of information

Specify the sources used to extract the information provided above. You may also upload relevant documents.

N/A

Attachments:

None.

Additional Information

The section on additional information is meant to provide an instrument of flexibility in the reporting exercise as well as to enrich the knowledge base of the CRIC on concrete issues faced by affected country Parties and consequently to make more targeted and specific recommendations to the COP. It allows affected country Parties to comment or report upon issues that are not covered elsewhere but that are nevertheless of importance at the national level or within the framework of the implementation of The Strategy and the Convention.

The additional information section allows feedback to be received on the reporting process and on the implementation of NAPs as well as lessons learnt, problems, constraints and bottlenecks faced in terms of human and financial resources. It is also meant to accommodate ad hoc COP requests for reporting on specific topics or new reporting requirements deriving from COP deliberations that may supersede existing ones and imply changes in implementation.

The proposed template for reporting is adjusted to the mandate of affected country Parties within the framework of the Convention, as requested by decision 13/COP.9, paragraph 17.

Reporting process-related issues

Financial resources

Could your country count on sufficient financial resources to meet UNCCD reporting obligations?
Yes

Which options provided by the GEF Financing for Enabling Activities under the UNCCD did your country choose to apply for?

Access through a GEF agency

Amount received (USD)

150000 USD

Did your country experience difficulties in applying for and accessing the GEF funding?

No

If yes, describe the difficulties experienced.

No answer required.

Provide an estimate of the amount invested from your country's national budget into the UNCCD reporting process.

0 ---

Human resources and knowledge

How many people were involved in your country in the UNCCD reporting process?

5 people

Estimate the total number of person/day dedicated by these persons to the UNCCD reporting process: 20 person/day

Could your country count on sufficient technical and scientific knowledge to meet UNCCD reporting obligations?

No

If no, describe the main reasons and the difficulties encountered.

В Казахстане не ведется регулярного мониторинга земель по типу растительности, а также по продуктивности. Кроме того, необходимо уточнение того, что какой показатель будет использовать в отношении "нац.уровня бедности, так как с 2007 в Казахстане такое явление как бедность отсутствует. Для определения зависимости глубины и остроты бедности (два этих показателя отслеживаются и по ним доступна регулярная статистика) в зависимости от ОД33 необходимо соц.исследования

Coordination, participation and consultation

Was coordination with the relevant implementing agencies satisfactory in order to apply for necessary funds?

No answer provided.

Was coordination at the national level with the relevant line ministries satisfactory in order to comprehensively and coherently report?

No

Was a participatory or consultative approach applied to involve all relevant stakeholders in the reporting process?

No answer provided.

Validation meeting, subregional and regional processes

Was a validation meeting held as a part of the reporting process?

No

Did your country actively cooperate with the entities entrusted with preparing the subregional and regional reports (SRAP / RAP reports) ?

No

PRAIS portal

If you are reporting online, did you receive sufficient training on access and utilization of the PRAIS portal?

No answer provided.

Did you experience difficulties with access and utilization of the PRAIS portal?

Yes

If you experienced difficulties, identify the reasons.

Rate the level of importance by using a scale from 0 to 5, where 0 is not important at all and 5 is very important.

Reason	Level of importance
Slow internet access	3
Complexity of the system	2
Difficulties in getting access credentials	4
Other	

Other (specify)

В частности, портал медленно работал и выдавал ошибки при заполнении 3. "Стандартное финансовое приложение".

Accommodation of specific requests within COP decisions

Report on specific COP requests – iterative process on indicators

Decision 13/COP.9, paragraphs 2, 3 and 4, envisages an iterative process to refine the set of performance and impact indicators. As a tool to implement this iterative process, affected country Parties can provide here their suggestions and recommendations for improvement.

Performance indicators

Tick the cells only if you have experienced difficulties in reporting on one, or more, performance indicator(s). Indicate against which of the e-SMART criteria the indicator(s) need(s) to be improved.

	economic	Specific	Measurable	Achievable	Relevant	Time-bound
CONS-O-1	X		X	X		X
CONS-O-3	X		X	X		X
CONS-O-4						
CONS-O-5						
CONS-O-7						
CONS-O-8						
CONS-O-9						
CONS-O-10						
CONS-O-11						
CONS-O-13						
CONS-O-14						
CONS-O-16						
CONS-O-17						
CONS-O-18						

Impact indicators

Tick the cells only if you have experienced difficulties in reporting on one, or more, impact indicator(s). Indicate against which of the e-SMART criteria the indicator(s) need(s) to be improved.

	economic	Specific	Measurable	Achievable	Relevant	Time-bound
SO4-3						
SO4-4						
SO4-6						
SO4-7						

Reporting on the implementation of NAP

Which is the percentage of activities included in the NAP that are currently implemented?

31-60%

If you experienced difficulties in NAP implementation (i.e. the percentage is below 30%), provide description of concrete measures being taken or suggestions for measures to be taken to foster NAP implementation:

No answer required.

Human resources

Lessons learnt (report on the 2 most important only)

1. Необходимость наращивания экспертного потенциала и создание мотивации для "удержания в теме".

2. Необходимость активного вовлечения экспертов из НПО и научно-исследовательских организаций, передача работы на аутсорсинг.

Problems, constraints and bottlenecks currently faced by your country (report on the 2 most important only)

 Повышение потенциала для обеспечения выполнения 10-летней стратегии КБО на национальной уровне.

Межсекторальная координация для реализации НАП, разработки и продвижения соответствующей политики и программ.

Financial resources

2.

Lessons learnt (report on the 2 most important only)

1. Для устойчивости программных мероприятий на этапе продвижения НАП необходимо вовлечение Министерства экономики и бюджетного планирования, а также Министерства индустрии и новых технологий.

2. В НАП должны быть интегрированы мероприятия по привлечению инвестиций.

Problems, constraints and bottlenecks currently faced by your country (report on the 2 most important only)

- Крайне недостаточное финансирование, выделяемое по тематике ОДЗЗ, из государственного бюджета.
- 2. Отсутствие межсекторальной координации в освоении финансовых ресурсов, что снижает эффективность отдельных мероприятий.

Any other country-specific issues

Any other country-specific issues Question marked as 'Skipped'.

Has your country any specific issue to bring to the attention of the Conference of the Parties?

No answer provided.

If yes, please specify under which of the following broad categories it can be classified.

No answer required.

Submission form

Submission fo	rm
Name of the reporting officer *	Ерлан Жумабаев

Date of submission *	15 Oct 2012
Signature	
Name of the authorizing officer	Болат Бекнияз
Name of the authorizing officer Date of authorization	Болат Бекнияз











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